



# DrNAS: Dirichlet Neural Architecture Search

Xiangning Chen<sup>\*</sup>, Ruochen Wang<sup>\*</sup>, Minhao Cheng<sup>\*</sup>, Xiaocheng Tang, Cho-Jui Hsieh  
University of California, Los Angeles, DiDI AI Lab



**Samueli**  
Computer Science



# DrNAS - *Effective, Robust, Efficient* NAS framework

## ➤ Effective:

- Constraint **architecture distribution** learning
- Strike a balance between **exploration** and **exploitation**

## ➤ Robust:

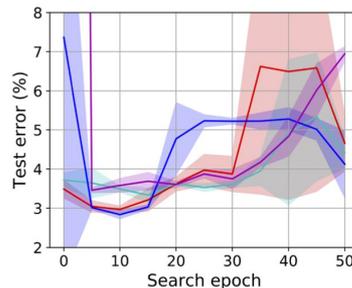
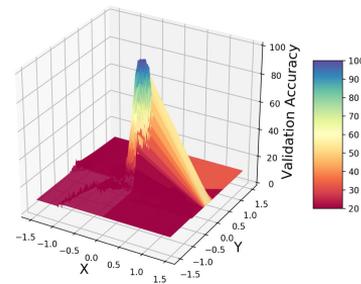
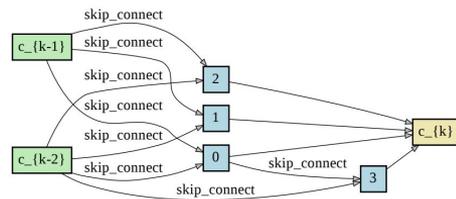
- **SOTA results** across various spaces and datasets
- Theoretical benefit to improve **generalization**

## ➤ Efficient:

- Low GPU memory overhead & **direct search** on large-scale tasks
- **Gradient-based** optimization

# Directly learn an architecture weight doesn't work

- Distorted structures
  - All operations are **skip-connection**
- Sharp landscape
  - **Overfit** the validation set
  - Blowing Hessian norm
- Significant performance degradation
  - Insufficient **exploration**



Liu et al. "DARTS: Differentiable Architecture Search." *In ICLR, 2019.*

Arber Zela. et al. "Understanding and robustifying differentiable architecture search." *In ICLR, 2020.*

Chen & Hsieh. "Stabilizing Differentiable Architecture Search via Perturbation-based Regularization." *In ICML, 2020.*

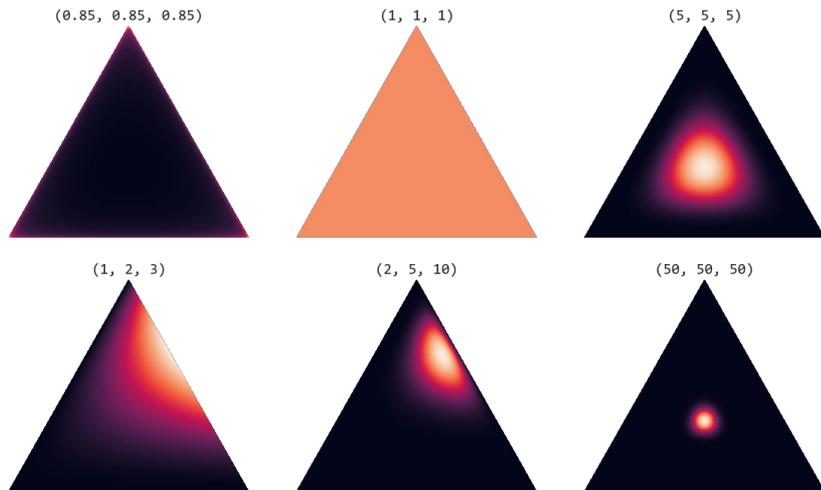
# Learn an architecture distribution instead

- Distribution learning naturally encourages exploration compared with point estimation

$$\min_{\beta} E_{q(\theta|\beta)} [\mathcal{L}_{val}(w^*, \theta)] + \lambda d(\beta, \hat{\beta}) \quad \text{s.t.} \quad w^* = \arg \min_w \mathcal{L}_{train}(w, \theta).$$
$$q(\theta|\beta) \sim Dir(\beta)$$

- Additional distance constraint

- $\beta \ll 1$  leads to **sparse** samples with high variance (instability)
- $\beta \gg 1$  leads to **dense** samples with low variance (insufficient exploration)
- Add a penalty term with the anchor  $\hat{\beta} = 1$



# Efficient gradient-based optimization

- Pathwise derivative estimator
  - Approximate the gradient of Dirichlet samples

$$\frac{d\theta_i}{d\beta_j} = -\frac{\frac{\partial F_{Beta}(\theta_j|\beta_j, \beta_{tot} - \beta_j)}{\partial \beta_j}}{f_{Beta}(\theta_j|\beta_j, \beta_{tot} - \beta_j)} \times \left(\frac{\delta_{ij} - \theta_i}{1 - \theta_j}\right) \quad i, j = 1, \dots, |\mathcal{O}|,$$

- Alternative updates between network weight and architecture distribution
- Determine the operation by the most likely one in expectation (Dirichlet mean)

$$\frac{\beta_o^{(i,j)}}{\sum_{o'} \beta_{o'}^{(i,j)}}$$

$$o^{(i,j)} = \arg \max_{o \in \mathcal{O}} E_{q(\theta_o^{(i,j)}|\beta^{(i,j)})}[\theta_o^{(i,j)}].$$

- The learnt distribution can be beneficial in a post search phase (resource restrictions)

# Theoretical benefit of improved generalization

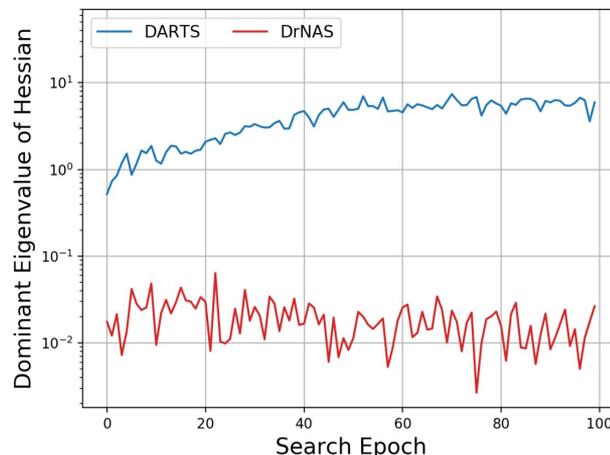
- We prove that minimizing the expected validation loss controls the trace norm of the Hessian matrix

**Proposition 1** *Let  $d(\beta, \hat{\beta}) = \|\beta - \hat{\beta}\|_2 \leq \delta$  and  $\hat{\beta} = 1$  in the bi-level formulation. Let  $\mu$  denote the mean under the Laplacian approximation of Dirichlet. The upper-level objective can be approximated bounded by:*

$$E_{q(\theta|\beta)}(\mathcal{L}_{val}(w, \theta)) \gtrsim \tilde{\mathcal{L}}_{val}(w^*, \mu) + C \cdot \text{tr}(\nabla_{\mu}^2 \tilde{\mathcal{L}}_{val}(w^*, \mu))$$

with:

$$\tilde{\mathcal{L}}_{val}(w^*, \mu) = \mathcal{L}_{val}(w^*, \text{Softmax}(\mu)),$$



# Progressive learning scheme

- A direct search on large-scale tasks, no gap between search and evaluation
- Progressively increase the fraction of channels that are forwarded to the mixed-operation and meanwhile prunes the operation space

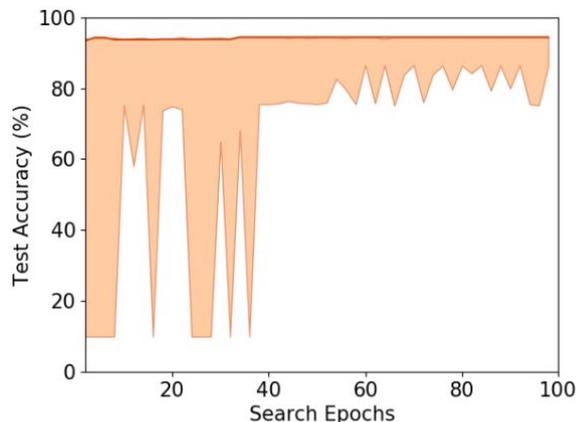
# Strong Empirical Results

- On NAS-Bench-201, we achieve the best accuracy on all 3 datasets
- **Oracle** on CIFAR-100 with 0 variance

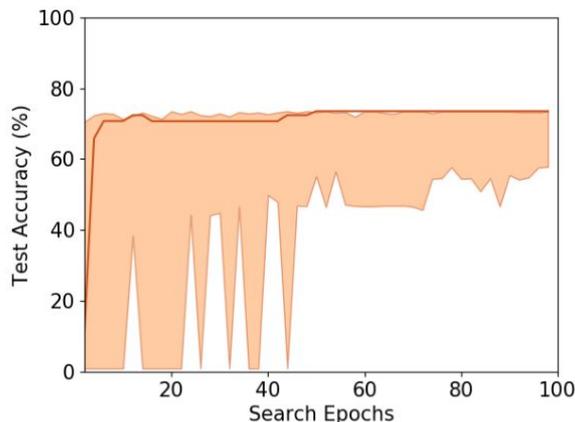
Method	CIFAR-10		CIFAR-100		ImageNet-16-120	
	validation	test	validation	test	validation	test
ResNet (He et al., 2016)	90.83	93.97	70.42	70.86	44.53	43.63
Random (baseline)	90.93 ± 0.36	93.70 ± 0.36	70.60 ± 1.37	70.65 ± 1.38	42.92 ± 2.00	42.96 ± 2.15
RSPS (Li & Talwalkar, 2019)	84.16 ± 1.69	87.66 ± 1.69	45.78 ± 6.33	46.60 ± 6.57	31.09 ± 5.65	30.78 ± 6.12
Reinforce (Zoph et al., 2018)	91.09 ± 0.37	93.85 ± 0.37	70.05 ± 1.67	70.17 ± 1.61	43.04 ± 2.18	43.16 ± 2.28
ENAS (Pham et al., 2018)	39.77 ± 0.00	54.30 ± 0.00	10.23 ± 0.12	10.62 ± 0.27	16.43 ± 0.00	16.32 ± 0.00
DARTS (1st) (Liu et al., 2019)	39.77 ± 0.00	54.30 ± 0.00	38.57 ± 0.00	38.97 ± 0.00	18.87 ± 0.00	18.41 ± 0.00
DARTS (2nd) (Liu et al., 2019)	39.77 ± 0.00	54.30 ± 0.00	38.57 ± 0.00	38.97 ± 0.00	18.87 ± 0.00	18.41 ± 0.00
GDAS (Dong & Yang, 2019)	90.01 ± 0.46	93.23 ± 0.23	24.05 ± 8.12	24.20 ± 8.08	40.66 ± 0.00	41.02 ± 0.00
SNAS (Xie et al., 2019)	90.10 ± 1.04	92.77 ± 0.83	69.69 ± 2.39	69.34 ± 1.98	42.84 ± 1.79	43.16 ± 2.64
DSNAS (Hu et al., 2020)	89.66 ± 0.29	93.08 ± 0.13	30.87 ± 16.40	31.01 ± 16.38	40.61 ± 0.09	41.07 ± 0.09
PC-DARTS (Xu et al., 2020)	89.96 ± 0.15	93.41 ± 0.30	67.12 ± 0.39	67.48 ± 0.89	40.83 ± 0.08	41.31 ± 0.22
DrNAS	<b>91.55 ± 0.00</b>	<b>94.36 ± 0.00</b>	<b>73.49 ± 0.00</b>	<b>73.51 ± 0.00</b>	<b>46.37 ± 0.00</b>	<b>46.34 ± 0.00</b>
<b>optimal</b>	91.61	94.37	73.49	73.51	46.77	47.31

# Exploration vs. Exploitation

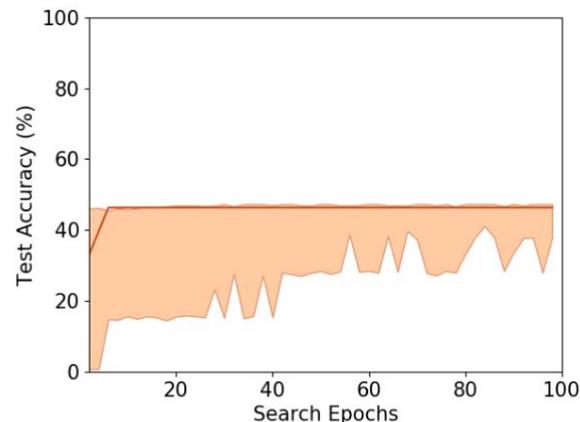
- Accuracy range of 100 sampled architectures vs. Dirichlet mean



(a) CIFAR-10



(b) CIFAR-100



(c) ImageNet16-120

- DrNAS learns to encourage exploration at the early stages and then gradually reduces it towards the end

# On CIFAR-10 and ImageNet

Architecture	Test Error (%)	Params (M)	Search Cost (GPU days)	Search Method
DenseNet-BC (Huang et al., 2017)*	3.46	25.6	-	manual
NASNet-A (Zoph et al., 2018)	2.65	3.3	2000	RL
AmoebaNet-A (Real et al., 2019)	3.34 ± 0.06	3.2	3150	evolution
AmoebaNet-B (Real et al., 2019)	2.55 ± 0.05	2.8	3150	evolution
PNAS (Liu et al., 2018)*	3.41 ± 0.09	3.2	225	SMBO
ENAS (Pham et al., 2018)	2.89	4.6	0.5	RL
DARTS (1st) (Liu et al., 2019)	3.00 ± 0.14	3.3	0.4	gradient
DARTS (2nd) (Liu et al., 2019)	2.76 ± 0.09	3.3	1.0	gradient
SNAS (moderate) (Xie et al., 2019)	2.85 ± 0.02	2.8	1.5	gradient
GDAS (Dong & Yang, 2019)	2.93	3.4	0.3	gradient
BayesNAS (Zhou et al., 2019)	2.81 ± 0.04	3.4	0.2	gradient
ProxylessNAS (Cai et al., 2019) <sup>†</sup>	2.08	5.7	4.0	gradient
PARSEC (Casale et al., 2019)	2.81 ± 0.03	3.7	1	gradient
P-DARTS (Chen et al., 2019)	2.50	3.4	0.3	gradient
PC-DARTS (Xu et al., 2020)	2.57 ± 0.07	3.6	0.1	gradient
SDARTS-ADV (Chen & Hsieh, 2020)	2.61 ± 0.02	3.3	1.3	gradient
GAEA + PC-DARTS (Li et al., 2020)	2.50 ± 0.06	3.7	0.1	gradient
DrNAS (without progressive learning)	2.54 ± 0.03	4.0	0.4 <sup>‡</sup>	gradient
DrNAS	2.46 ± 0.03	4.1	0.6 <sup>‡</sup>	gradient

2.46% test error on CIFAR-10

Architecture	Test Error(%)		Params (M)	Search Cost (GPU days)	Search Method
	top-1	top-5			
Inception-v1 (Szegedy et al., 2015)	30.1	10.1	6.6	-	manual
MobileNet (Howard et al., 2017)	29.4	10.5	4.2	-	manual
ShuffleNet 2× (v1) (Zhang et al., 2018)	26.4	10.2	~ 5	-	manual
ShuffleNet 2× (v2) (Ma et al., 2018)	25.1	-	~ 5	-	manual
NASNet-A (Zoph et al., 2018)	26.0	8.4	5.3	2000	RL
AmoebaNet-C (Real et al., 2019)	24.3	7.6	6.4	3150	evolution
PNAS (Liu et al., 2018)	25.8	8.1	5.1	225	SMBO
MnasNet-92 (Tan et al., 2019)	25.2	8.0	4.4	-	RL
DARTS (2nd) (Liu et al., 2019)	26.7	8.7	4.7	1.0	gradient
SNAS (mild) (Xie et al., 2019)	27.3	9.2	4.3	1.5	gradient
GDAS (Dong & Yang, 2019)	26.0	8.5	5.3	0.3	gradient
BayesNAS (Zhou et al., 2019)	26.5	8.9	3.9	0.2	gradient
DSNAS (Hu et al., 2020) <sup>†</sup>	25.7	8.1	-	-	gradient
ProxylessNAS (GPU) (Cai et al., 2019) <sup>†</sup>	24.9	7.5	7.1	8.3	gradient
PARSEC (Casale et al., 2019)	26.0	8.4	5.6	1	gradient
P-DARTS (CIFAR-10) (Chen et al., 2019)	24.4	7.4	4.9	0.3	gradient
P-DARTS (CIFAR-100) (Chen et al., 2019)	24.7	7.5	5.1	0.3	gradient
PC-DARTS (CIFAR-10) (Xu et al., 2020)	25.1	7.8	5.3	0.1	gradient
PC-DARTS (ImageNet) (Xu et al., 2020) <sup>†</sup>	24.2	7.3	5.3	3.8	gradient
GAEA + PC-DARTS (Li et al., 2020) <sup>†</sup>	24.0	7.3	5.6	3.8	gradient
DrNAS (without progressive learning) <sup>†</sup>	24.2	7.3	5.2	3.9	gradient
DrNAS <sup>†</sup>	23.7	7.1	5.7	4.6	gradient

<sup>†</sup> The architecture is searched on ImageNet, otherwise it is searched on CIFAR-10 or CIFAR-100.

23.7% top-1 test error on ImageNet

# DrNAS

*Effective, Robust, Efficient* NAS framework

Code: <https://github.com/xiangning-chen/DrNAS>