



MAPPING CONDITIONAL DISTRIBUTIONS FOR DOMAIN ADAPTATION UNDER GENERALIZED TARGET SHIFT

ICLR 2022

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Introduction

Problem definition

Unsupervised Domain Adaptation (UDA)

Train a good classifier $h : \mathcal{X} \rightarrow \mathcal{Y}$ for an **unlabelled** target domain T given a labelled source domain S .

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Generalized Target Shift

We consider the most challenging UDA setting, Generalized target shift (GeTarS) Zhang et al. 2013 where:

$$p_S(X|Y) \neq p_T(X|Y), p_S(Y) \neq p_T(Y)$$

UDA under generalized target shift

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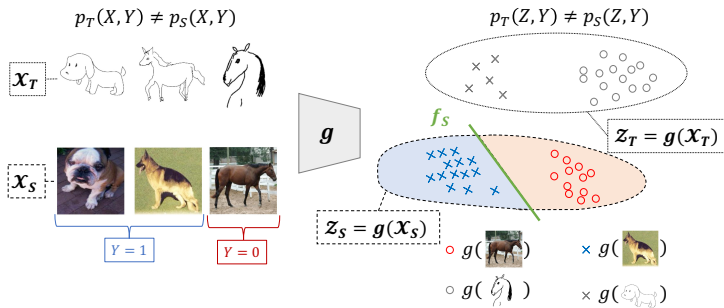
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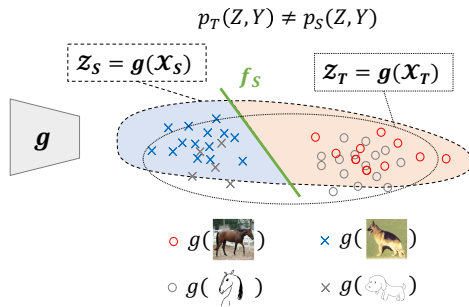
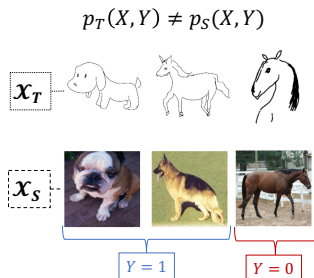


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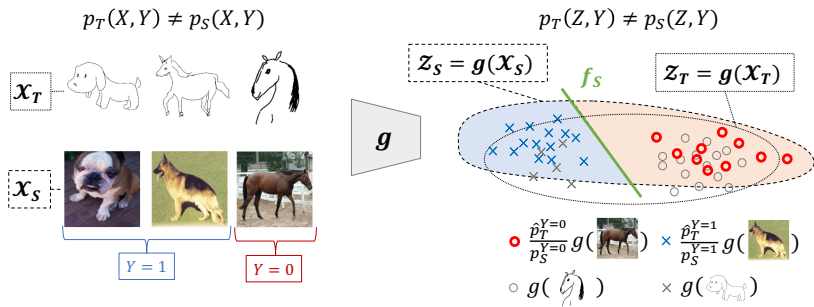
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- Learn f_S to classify encoded S samples.
- Learn g to match encoded S and T samples.
- Under GeTarS, reweight S samples by estimated class-ratios.

Combes et al. 2020; Gong et al. 2016; Rakotomamonjy et al. 2021; Shui et al. 2021



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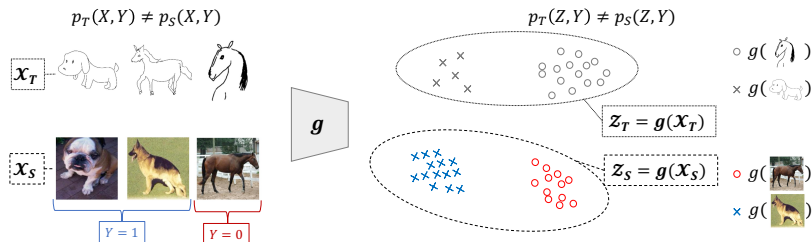
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 - under mild assumptions, provides two theoretical guarantees:
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 - unicity of the solution.

OSTAR framework

Optimal Sample Transport and Reweight (OSTAR) (I)

Objectives

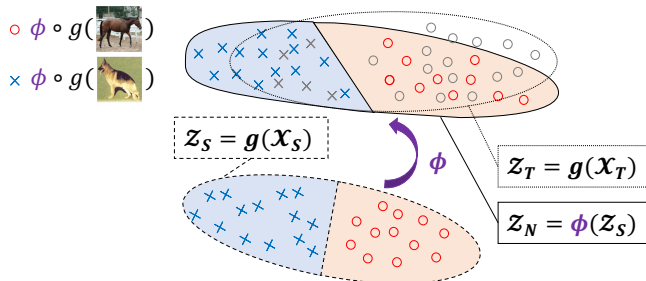
- Encode input S and T samples with g



Optimal Sample Transport and Reweight (OSTAR) (I)

Objectives

- With fixed representations jointly
 - 1 Map encoded S samples onto T with ϕ under OT constraints.

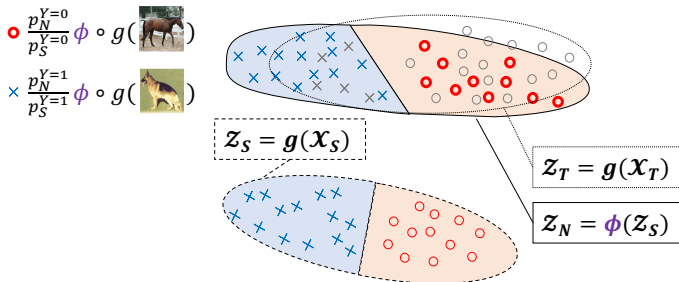


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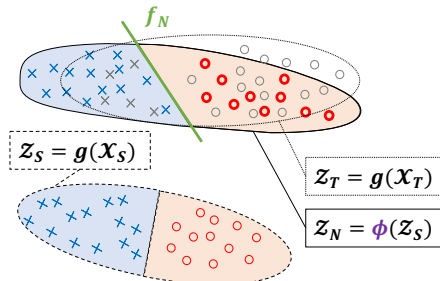
- 2 Reweight mapped S samples with class-ratio estimates p_N^Y/p_S^Y .



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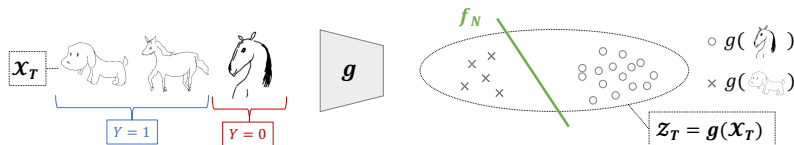
- With fixed representations jointly
- 3** Train classifier f_N on reweighted and mapped S samples



Optimal Sample Transport and Reweight (OSTAR) (I)

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- Use f_N for inference on encoded T samples.



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Improving target discriminativity

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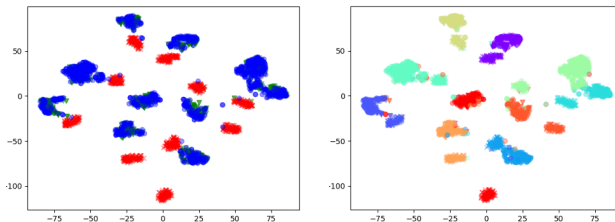
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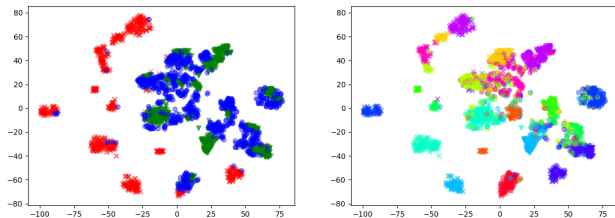
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- We define mild assumptions on g , for which
 - OSTAR controls the target risk at optimum.
 - Its solution (ϕ, \mathbf{p}_N^Y) is unique.

Results

t-SNE feature visualizations



(a) USPS→MNIST



(b) VisDA

(left) source, target and mapped source. (right) classes in source and target.

Performance on visual UDA datasets

Balanced accuracy (\uparrow) over 10 runs with best performance in **bold**.
Results are aggregated over imbalance scenarios and datasets.

Setting	Source	DANN	$WD_{\beta=0}$	$WD_{\beta=1}$	$WD_{\beta=2}$	MARSg	MARSc	IW-WD	OSTAR+IM
Digits									
balanced	74.98 \pm 3.8	90.81 \pm 1.3	92.63 \pm 1.0	82.80 \pm 4.7	76.07 \pm 7.1	92.18 \pm 2.2	94.91 \pm 1.4	95.89 \pm 0.5	97.51 \pm 0.3
subsampled	75.05 \pm 3.1	89.91 \pm 1.5	89.45 \pm 1.0	81.56 \pm 4.8	77.77 \pm 6.5	91.87 \pm 2.0	93.75 \pm 1.4	93.22 \pm 1.1	96.69 \pm 0.7
VisDA12									
original	48.63 \pm 1.0	53.72 \pm 0.9	57.40 \pm 1.1	47.56 \pm 0.8	36.21 \pm 1.8	55.62 \pm 1.6	55.33 \pm 0.8	51.88 \pm 1.6	59.24 \pm 0.5
subsampled	42.46 \pm 1.4	47.57 \pm 0.9	47.32 \pm 1.4	41.48 \pm 1.6	31.83 \pm 3.0	55.00 \pm 1.9	51.86 \pm 2.0	50.65 \pm 1.5	58.84 \pm 1.0
Office31									
subsampled	74.50 \pm 0.5	76.13 \pm 0.3	76.24 \pm 0.3	74.23 \pm 0.5	72.40 \pm 1.8	80.20 \pm 0.4	80.00 \pm 0.5	77.28 \pm 0.4	82.61 \pm 0.4
OfficeHome									
subsampled	50.56 \pm 2.8	50.87 \pm 1.05	53.47 \pm 0.7	52.24 \pm 1.1	49.48 \pm 1.3	56.60 \pm 0.4	56.22 \pm 0.6	54.87 \pm 0.4	59.51 \pm 0.4

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Domain-invariant baselines designed for:

- Covariate shift w/o reweighting (DANN Ganin et al. 2016, $WD_{\beta=0}$ Shen et al. 2018).
- GeTarS with reweighting ($WD_{\beta \in \{1,2\}}$ Wu et al. 2019, MARS Rakotomamonjy et al. 2021; IW-WD Combes et al. 2020).

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Thank you for your attention !

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