

Recursive Generalization Transformer for Image Super-Resolution

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Introduction



Motivation

- Window Attention: efficiency through window-based attention in Transformer models.
- Global Information: crucial for image reconstruction.
- Larger window: increases the field of view, though with higher model complexity.



Model: Params(M)/FLOPs(G)



SwinIR: 11.90/215.32



CAT: 16.60/360.67



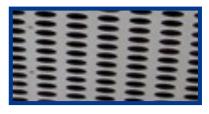
RGT(ours): 10.20/193.08

Introduction



Motivation

- **Demand:** develop a method for image SR to capture global information effectively.
- **RGT:** we design the Recursive Generalization Transformer, which can capture global spatial information and is suitable for high-resolution images.
- Better Performance: RGT achieves superior SR performance quantitatively and visually.



HR



LR: PSNR/SSIM



SwinIR: 33.81/0.9427



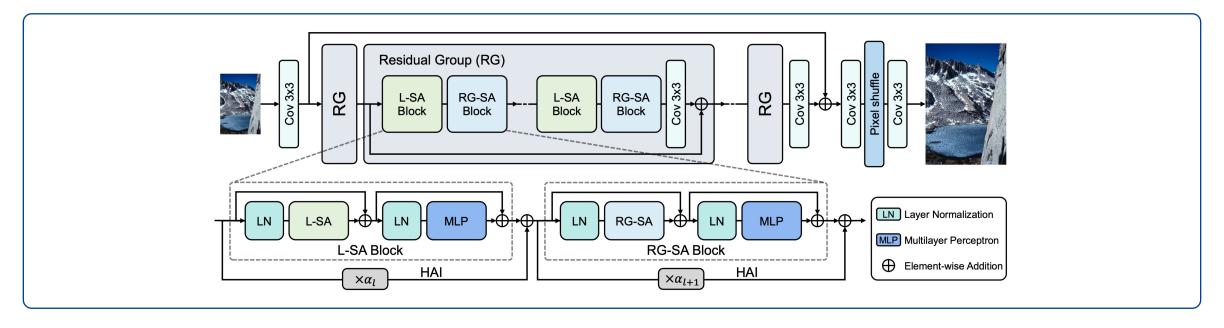
CAT: 34.26/0.9440



RGT(ours): 34.47/0.9467

Method



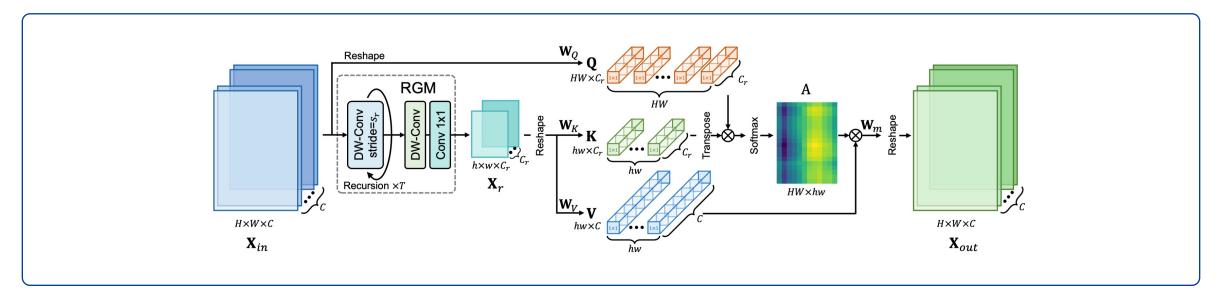


Architecture

- **RGT:** utilizes two attention mechanisms: local self-attention (L-SA) and recursive-generalization self-attention (RG-SA), coupled with Hybrid Adaptive Integration (HAI).
- **RG-SA:** models global dependencies with linear complexity.
- HAI: integrates different modules, combining global and local information.

Method



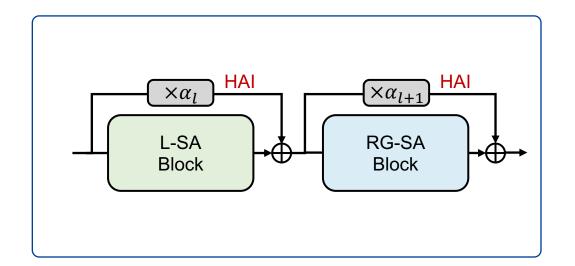


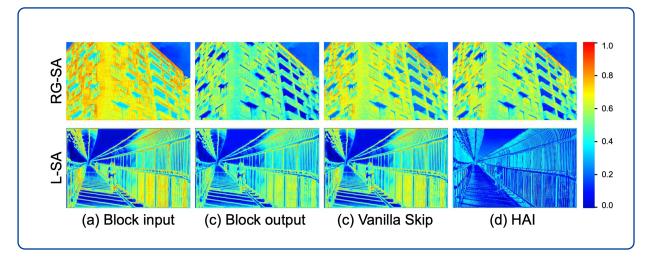
RG-SA

- Recursive Generalization Module (RGM): aggregates image features of any resolution into representative maps. The recursive time is $T = log_{s_r}(\frac{H}{W})$.
- Cross-Attention: calculates between input features and representative maps.
- Complexity: $O(HWC^2)$, linearly related to image resolution.

Method







HAI

- Hybrid Adaptive Integration (HAI): acts on the outside of each block, where input features are adaptively adjusted by a learnable adapter α .
- Module Integration: couples global and local modules.
- Visual Results: feature maps from different modules are adaptively fused through HAI.



| L-SA | RG-SA | HAI | Params(M) | FLOPs(G) | PSNR(dB) | SSIM |
|---------------------------|--------------|--------------|-----------|----------|----------|--------|
| $\overline{\hspace{1em}}$ | | | 10.69 | 229.42 | 33.43 | 0.9396 |
| \checkmark | \checkmark | | 10.04 | 183.08 | 33.52 | 0.9405 |
| \checkmark | \checkmark | \checkmark | 10.05 | 183.08 | 33.68 | 0.9414 |

Ablation: break-down

- **Baseline:** Transformer with only local self-attention.
- Demonstrate the effectiveness of the RG-SA and HAI.

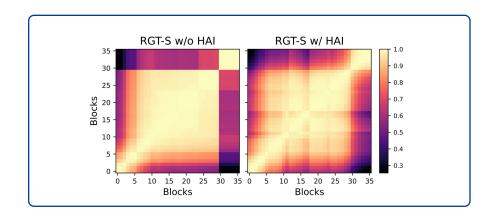
| Method | Recursion | c_r | Params(M) | FLOPs(G) | PSNR(dB) | SSIM |
|-----------|--------------|-------|-----------|----------|----------|--------|
| w/o Recur | | 0.5 | 10.05 | 274.54 | 33.57 | 0.9412 |
| w/o Scale | ✓ | 1 | 11.37 | 189.62 | 33.54 | 0.9404 |
| RGT-S | \checkmark | 0.5 | 10.05 | 183.08 | 33.68 | 0.9414 |

Ablation: RG-SA

- Recursive operation reduces the FLOPs by 30%.
- Channel scaling mitigates the redundancy between channels.



| Method V | anilla Sk | | arams (M | FLOPs (G) | PSNR (dE | 3) SSIM |
|-----------|--------------|--------------|----------|-----------|----------|---------|
| w/o HAI | | | 10.04 | 183.08 | 33.52 | 0.9405 |
| w/ Skip | \checkmark | | 10.04 | 183.08 | 32.71 | 0.9339 |
| w/ HAI | \checkmark | \checkmark | 10.05 | 183.08 | 33.68 | 0.9414 |



| Method | Params(M) |) FLOPs(G) | PSNR(dB |) SSIM |
|-------------|-----------|------------|---------|--------|
| L-SA only | 10.69 | 229.42 | 33.43 | 0.9396 |
| L-SA w/ HAI | 10.69 | 229.42 | 33.44 | 0.9400 |

Ablation: HAI

- Vanilla skip connection degrades the model performance.
- HAI adaptively adjusts the input features, obtaining 0.16 dB gain.

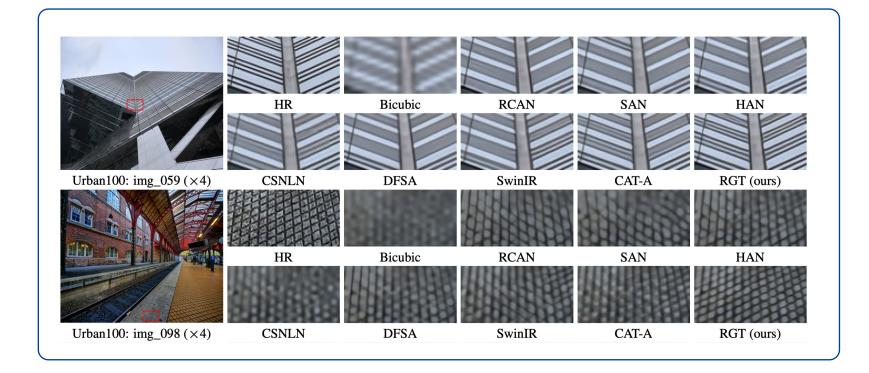


| M-41 J | C1- | Se | et5 | Se | t14 | B 1 | 100 | Urba | ın100 | Man | ga109 |
|-----------------------------|------------|-------|--------|-------|--------|------------|--------|-------|--------|-------|--------|
| Method | Scale | PSNR | SSIM | PSNR | SSIM | PSNR | SSIM | PSNR | SSIM | PSNR | SSIM |
| EDSR (Lim et al., 2017) | ×2 | 38.11 | 0.9602 | 33.92 | 0.9195 | 32.32 | 0.9013 | 32.93 | 0.9351 | 39.10 | 0.9773 |
| RCAN (Zhang et al., 2018a) | ×2 | 38.27 | 0.9614 | 34.12 | 0.9216 | 32.41 | 0.9027 | 33.34 | 0.9384 | 39.44 | 0.9786 |
| SRFBN (Li et al., 2019) | $\times 2$ | 38.11 | 0.9609 | 33.82 | 0.9196 | 32.29 | 0.9010 | 32.62 | 0.9328 | 39.08 | 0.9779 |
| SAN (Dai et al., 2019) | ×2 | 38.31 | 0.9620 | 34.07 | 0.9213 | 32.42 | 0.9028 | 33.10 | 0.9370 | 39.32 | 0.9792 |
| HAN (Niu et al.) 2020) | ×2 | 38.27 | 0.9614 | 34.16 | 0.9217 | 32.41 | 0.9027 | 33.35 | 0.9385 | 39.46 | 0.9785 |
| CSNLN (Mei et al., 2020) | ×2 | 38.28 | 0.9616 | 34.12 | 0.9223 | 32.40 | 0.9024 | 33.25 | 0.9386 | 39.37 | 0.9785 |
| NLSA (Mei et al., 2021) | ×2 | 38.34 | 0.9618 | 34.08 | 0.9231 | 32.43 | 0.9027 | 33.42 | 0.9394 | 39.59 | 0.9789 |
| CRAN (Zhang et al., 2021) | ×2 | 38.31 | 0.9617 | 34.22 | 0.9232 | 32.44 | 0.9029 | 33.43 | 0.9394 | 39.75 | 0.9793 |
| DFSA (Magid et al., 2021) | ×2 | 38.38 | 0.9620 | 34.33 | 0.9232 | 32.50 | 0.9036 | 33.66 | 0.9412 | 39.98 | 0.9798 |
| ELAN (Zhang et al., 2022) | ×2 | 38.36 | 0.9620 | 34.20 | 0.9228 | 32.45 | 0.9030 | 33.44 | 0.9391 | 39.62 | 0.9793 |
| SwinIR (Liang et al., 2021) | ×2 | 38.42 | 0.9623 | 34.46 | 0.9250 | 32.53 | 0.9041 | 33.81 | 0.9427 | 39.92 | 0.9797 |
| CAT-A (Chen et al., 2022c) | $\times 2$ | 38.51 | 0.9626 | 34.78 | 0.9265 | 32.59 | 0.9047 | 34.26 | 0.9440 | 40.10 | 0.9805 |
| RGT-S (ours) | ×2 | 38.56 | 0.9627 | 34.77 | 0.9270 | 32.59 | 0.9050 | 34.32 | 0.9457 | 40.18 | 0.9805 |
| RGT (ours) | ×2 | 38.59 | 0.9628 | 34.83 | 0.9272 | 32.62 | 0.9050 | 34.47 | 0.9467 | 40.34 | 0.9808 |
| RGT+ (ours) | ×2 | 38.62 | 0.9629 | 34.88 | 0.9275 | 32.64 | 0.9053 | 34.63 | 0.9474 | 40.45 | 0.9810 |
| EDSR (Lim et al., 2017) | ×4 | 32.46 | 0.8968 | 28.80 | 0.7876 | 27.71 | 0.7420 | 26.64 | 0.8033 | 31.02 | 0.9148 |
| RCAN (Zhang et al., 2018a) | ×4 | 32.63 | 0.9002 | 28.87 | 0.7889 | 27.77 | 0.7436 | 26.82 | 0.8087 | 31.22 | 0.9173 |
| SRFBN (Li et al., 2019) | ×4 | 32.47 | 0.8983 | 28.81 | 0.7868 | 27.72 | 0.7409 | 26.60 | 0.8015 | 31.15 | 0.9160 |
| SAN (Dai et al., 2019) | ×4 | 32.64 | 0.9003 | 28.92 | 0.7888 | 27.78 | 0.7436 | 26.79 | 0.8068 | 31.18 | 0.9169 |
| HAN (Niu et al., 2020) | ×4 | 32.64 | 0.9002 | 28.90 | 0.7890 | 27.80 | 0.7442 | 26.85 | 0.8094 | 31.42 | 0.9177 |
| CSNLN (Mei et al., 2020) | ×4 | 32.68 | 0.9004 | 28.95 | 0.7888 | 27.80 | 0.7439 | 27.22 | 0.8168 | 31.43 | 0.9201 |
| NLSA (Mei et al., 2021) | ×4 | 32.59 | 0.9000 | 28.87 | 0.7891 | 27.78 | 0.7444 | 26.96 | 0.8109 | 31.27 | 0.9184 |
| CRAN (Zhang et al., 2021) | ×4 | 32.72 | 0.9012 | 29.01 | 0.7918 | 27.86 | 0.7460 | 27.13 | 0.8167 | 31.75 | 0.9219 |
| DFSA (Magid et al., 2021) | ×4 | 32.79 | 0.9019 | 29.06 | 0.7922 | 27.87 | 0.7458 | 27.17 | 0.8163 | 31.88 | 0.9266 |
| ELAN (Zhang et al., 2022) | ×4 | 32.75 | 0.9022 | 28.96 | 0.7914 | 27.83 | 0.7459 | 27.13 | 0.8167 | 31.68 | 0.9226 |
| SwinIR (Liang et al., 2021) | ×4 | 32.92 | 0.9044 | 29.09 | 0.7950 | 27.92 | 0.7489 | 27.45 | 0.8254 | 32.03 | 0.9260 |
| CAT-A (Chen et al., 2022c) | ×4 | 33.08 | 0.9052 | 29.18 | 0.7960 | 27.99 | 0.7510 | 27.89 | 0.8339 | 32.39 | 0.9285 |
| RGT-S (ours) | ×4 | 32.98 | 0.9047 | 29.18 | 0.7966 | 27.98 | 0.7509 | 27.89 | 0.8347 | 32.38 | 0.9281 |
| RGT (ours) | ×4 | 33.12 | 0.9060 | 29.23 | 0.7972 | 28.00 | 0.7513 | 27.98 | 0.8369 | 32.50 | 0.9291 |
| RGT+ (ours) | ×4 | 33.16 | 0.9066 | 29.28 | 0.7979 | 28.03 | 0.7520 | 28.09 | 0.8388 | 32.68 | 0.9303 |

Quantitative

- Two variants: RGT-S and RGT, with different computational complexity.
- Compare our methods with some recent state-of-the-art methods.
- Our proposed RGT outperforms other methods on all datasets with all scaling factors.





Visual

- RGT can alleviate the blurring artifacts better and recover more image details.
- Visual results further demonstrate the effectiveness of our method.

| Method | EDSR | RCAN | HAN | CSNLN | SwinIR | CAT-A | RGT-S (ours) | RGT (ours) |
|-----------|--------|--------|-------|-----------|--------|--------|--------------|------------|
| Params(M) | 43.09 | 15.59 | 16.07 | 6.57 | 11.90 | 16.60 | 10.20 | 13.37 |
| FLOPs(G) | 823.34 | 261.01 | 269.1 | 84,155.24 | 215.32 | 360.67 | 193.08 | 251.07 |
| Urban100 | 26.64 | 26.82 | 26.85 | 27.22 | 27.45 | 27.89 | 27.89 | 27.98 |
| Manga109 | 31.02 | 31.22 | 31.42 | 31.43 | 32.03 | 32.39 | 32.38 | 32.50 |

Model Size

Better trade-off between complexity and performance.

Conclusion



Contribution

- We propose the Recursive Generalization Transformer (RGT) for accurate image SR.
- We design the recursive-generalization self-attention (RG-SA) to model global dependency with linear complexity.
- We design the hybrid adaptive integration (HAI) for global and local integration.



Poster

- Time: Thu 9 May 4:30 p.m. 6:30 p.m.
- Session: Halle B #287

Thanks!