

Concept-aware Data Construction Improves In-context Learning of Language Models

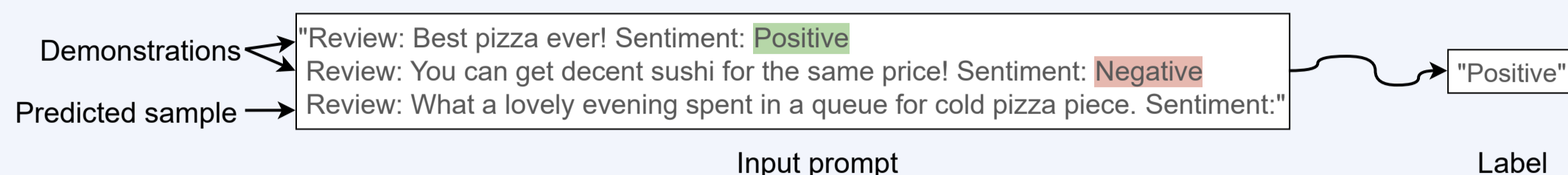
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Workshop on Mathematical and Empirical Understanding of Foundation Models



In-context learning: Ability of the model to perform previously unseen task solely from the input context

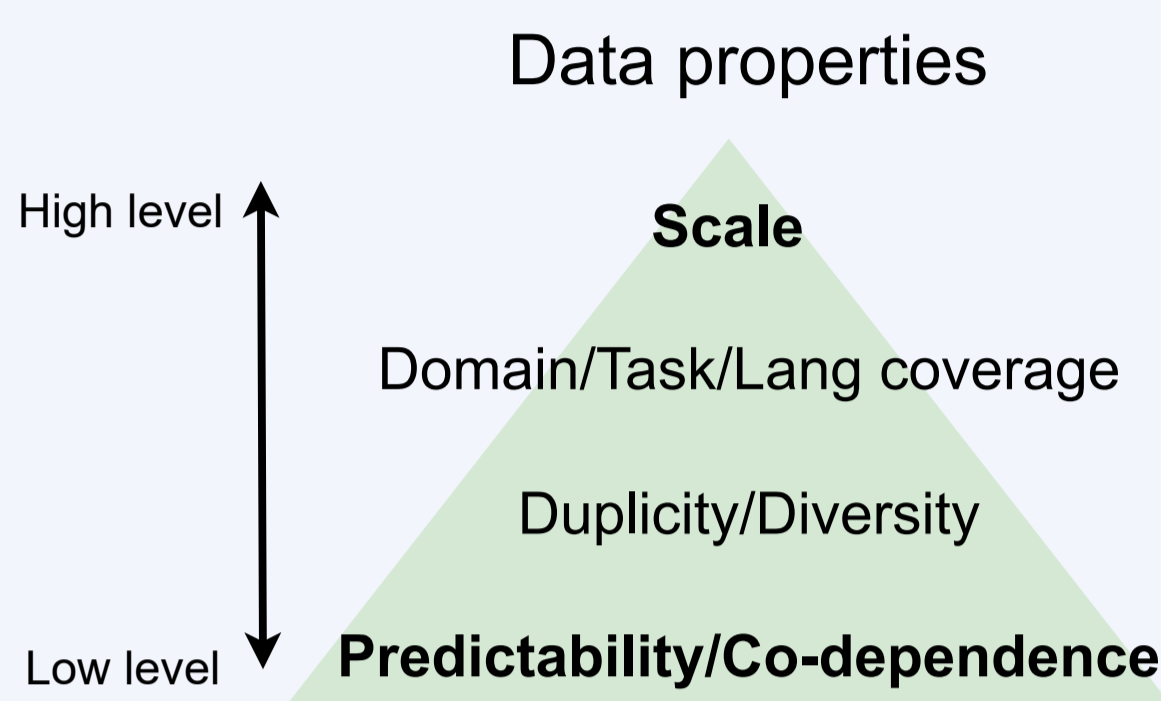


Previous work

Theory: **In-context learning emerges from specific data properties!**

- Hahn & Goyal [1]: ICL emerges in Language models thanks to a shared compositionality of languages
- Chan+ [2]: ICL needs statistical burstiness of data, (a co-occurrence of same concepts in clusters)
- Xie+ [3]: ICL requires training data that condition correct prediction on shared latent concepts

All these works train small models able of ICL in synthetic, small-data settings



Practice: In-context learning emerges with scale!

- Brown+ [4] (GPT3) first uncovers ICL ability by scaling model size
- Min+ [5], Sanh+ [6], Wang+ [8] (, ...) scale a diversity of tasks and prompts in instruction format
- Wei+ [9] (FLAN) extend training with Chain-of-Thought tasks

What skills can the model learn from pre-training samples?

- "Some sorts of [MASK]" **fruit** *ambiguous*
- "Some sorts of fruit overripe faster than [MASK]" **others** *pattern matching*
- "(+) Species of banana pertain over two months, but most apples will [MASK]" **last** *conditioned by contextual concept (override)*
- "(+) but most apples will last less than two [MASK]" **weeks** *pattern matching + cond. by contextual concept*

→ Some samples are more useful than others
→ Most next-token prediction samples are trivial or ambiguous

Can the upscaling of concept-dependent data improve the quality of ICL?

Experimental setup

- Few-shot instruction training format:

$$[x_1, y_1, \langle sep \rangle, \dots, x_k, y_k, \langle sep \rangle, x_{pred}] \rightarrow y_{pred}$$

- Demonstrations sharing a specific reasoning concept (*Informativeness* condition)
- Diverse demonstrations (*Non-triviality* condition)
- Baselines:
 - Uncontrolled demonstrations selection (Tk-Random)
 - Previous Instruction-tuned models (T0, Flan, Tk-Instr)

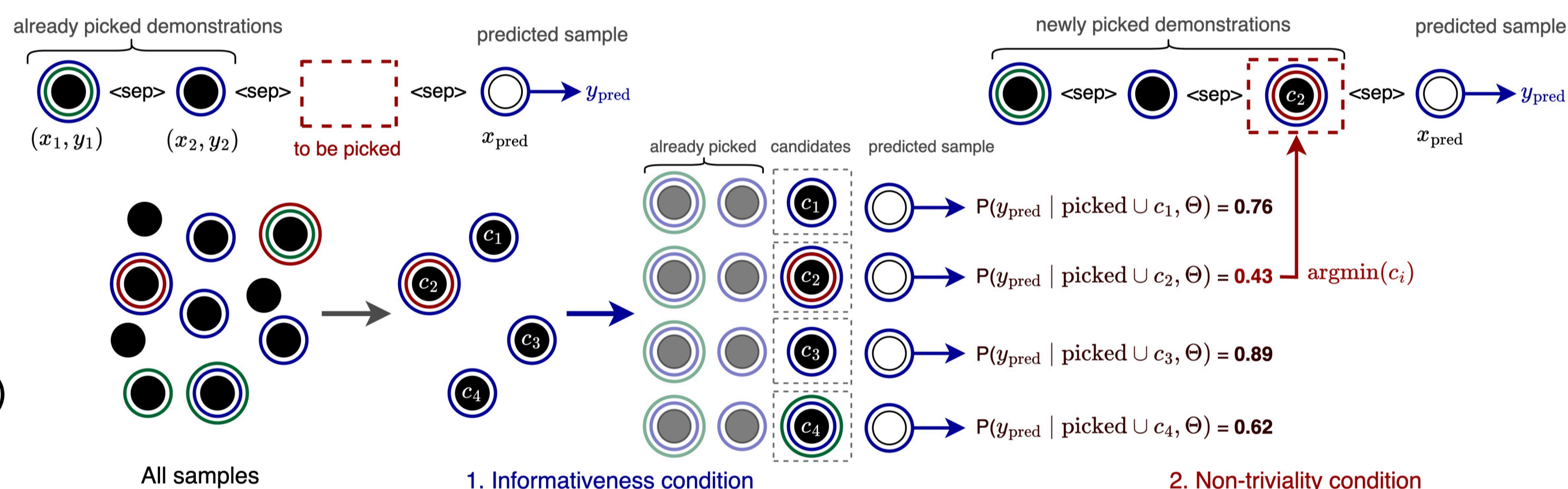
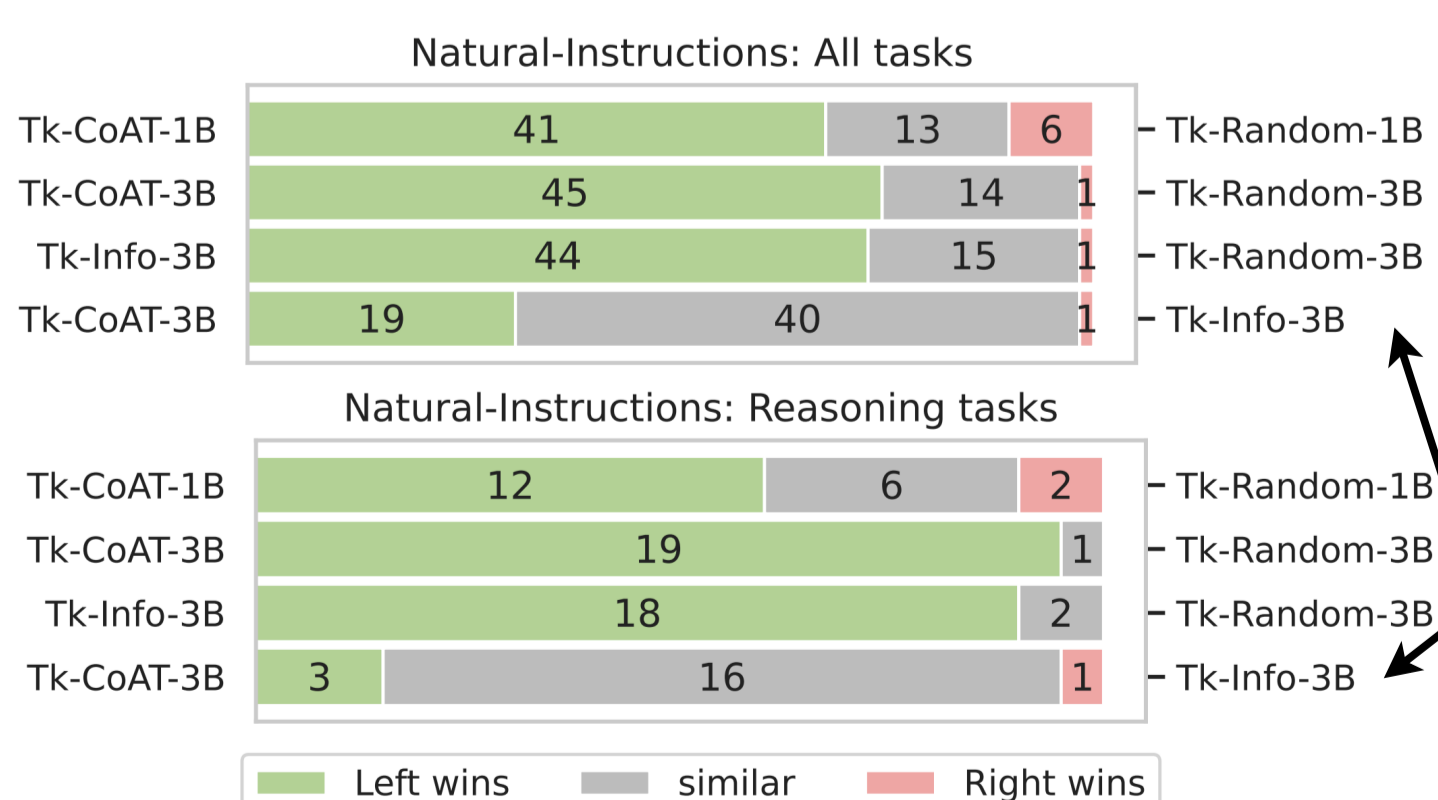


Fig: Selection of demonstrations in our implementation of Concept-aware Training (CoAT)

Training data setup

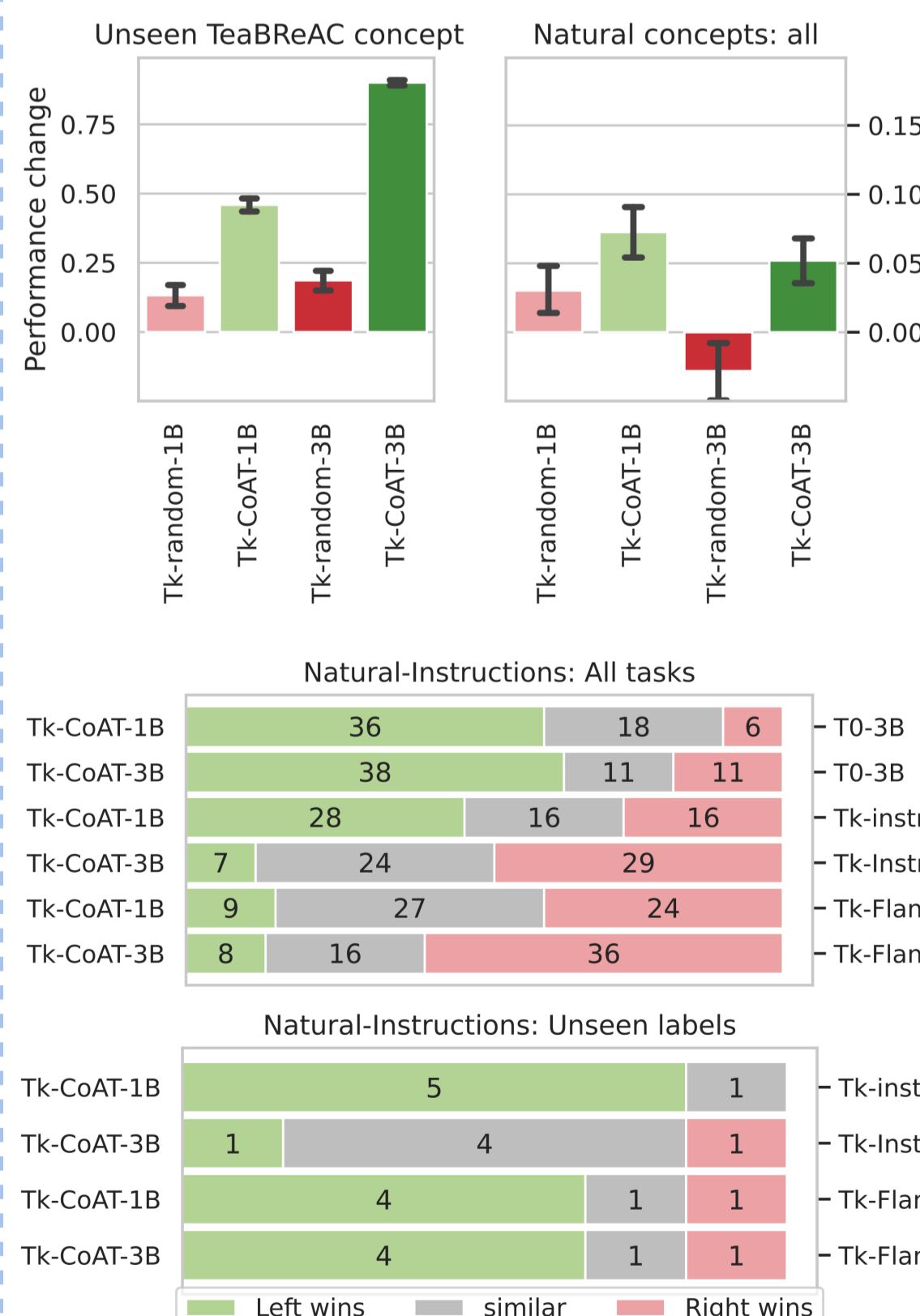
- Existing datasets with annotated concepts are not big enough for training
- We pre-train on a synthetic TeaBReAC [10] dataset which annotates **reasoning chains** (train concepts)
- We fine-tune the resulting model on AdversarialQA [11] to restore the ability to work with natural text

Evaluations



Win rates: Comparison to baselines on (up) all and (down) reasoning tasks of **Natural Instructions** collection [8]:
(1) Uncontrolled demo construction (Tk-Random) and
(2) selection with only Informativeness condition (Tk-Info).

Analyses



Win rates: Comparison to previous models on (up) all tasks, and (down) tasks with the labels unseen in the training.

← **Are concept-aware models better at benefiting from both synthetic and natural-language concepts?**

Fig: Change in performance between in-context learning with random and concept-sharing demonstrations: (left) synthetic reasoning chains, (right) different natural-language concepts [7]

↓ **Are concept-aware models more robust in in-context learning functional relations?**

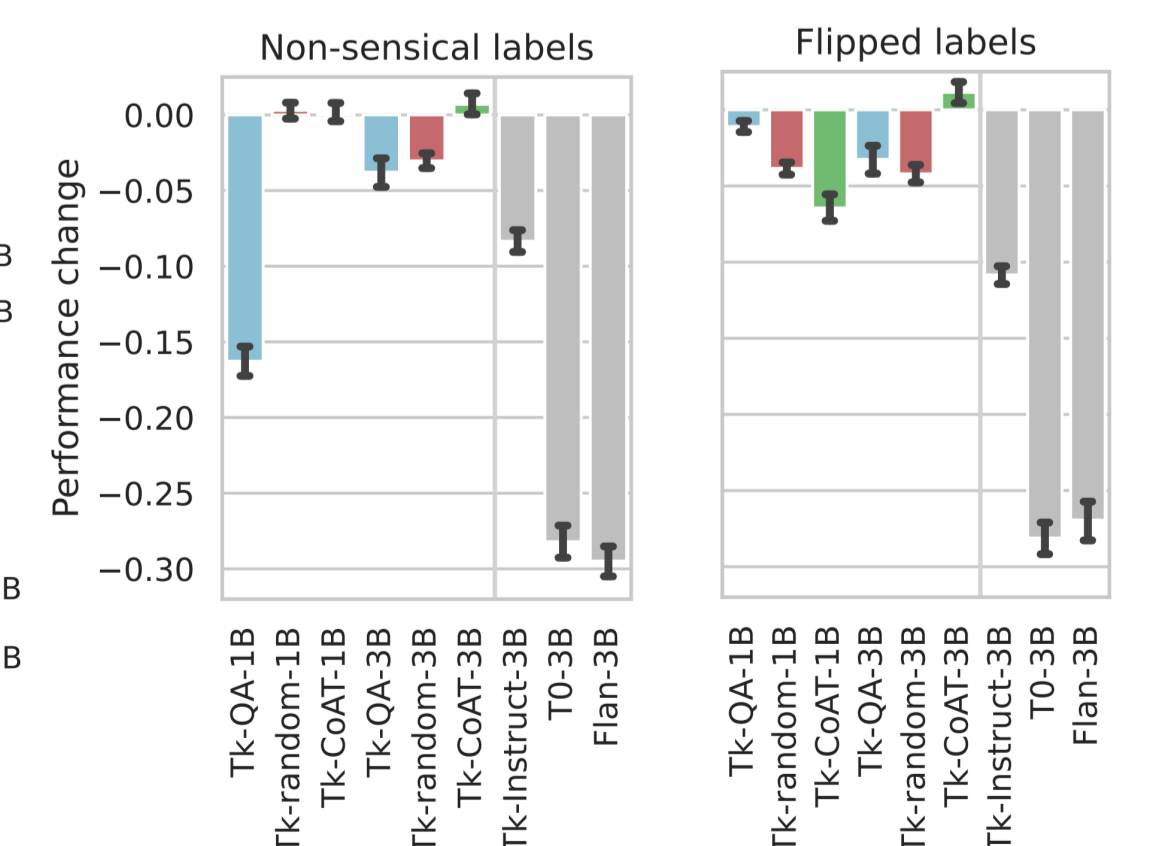


Fig: Performance change of in-context learning with (left) non-sensical labels ("foo", "bar" instead of "positive", "negative"), or (right) flipped labels.

References

- [1] Hahn & Goyal: A Theory of Emergent In-Context Learning as Implicit Structure Induction. Arxiv 2023.
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