

LEARNING TO SEARCH FROM DEMONSTRATION SEQUENCES

Dixant Mittal, Liwei Kang, Wee Sun Lee



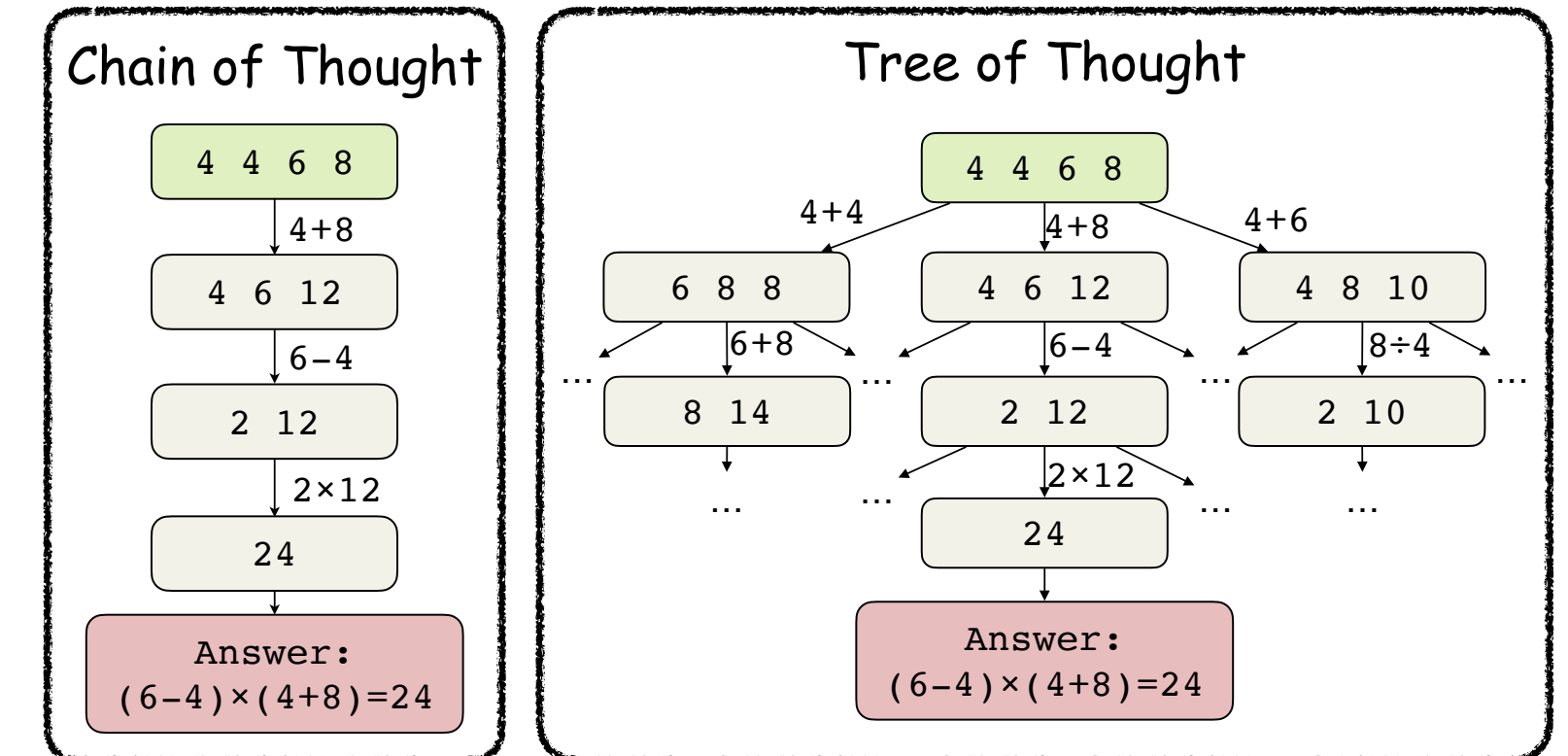
INTRODUCTION

Search and Planning
are fundamental for complex reasoning tasks

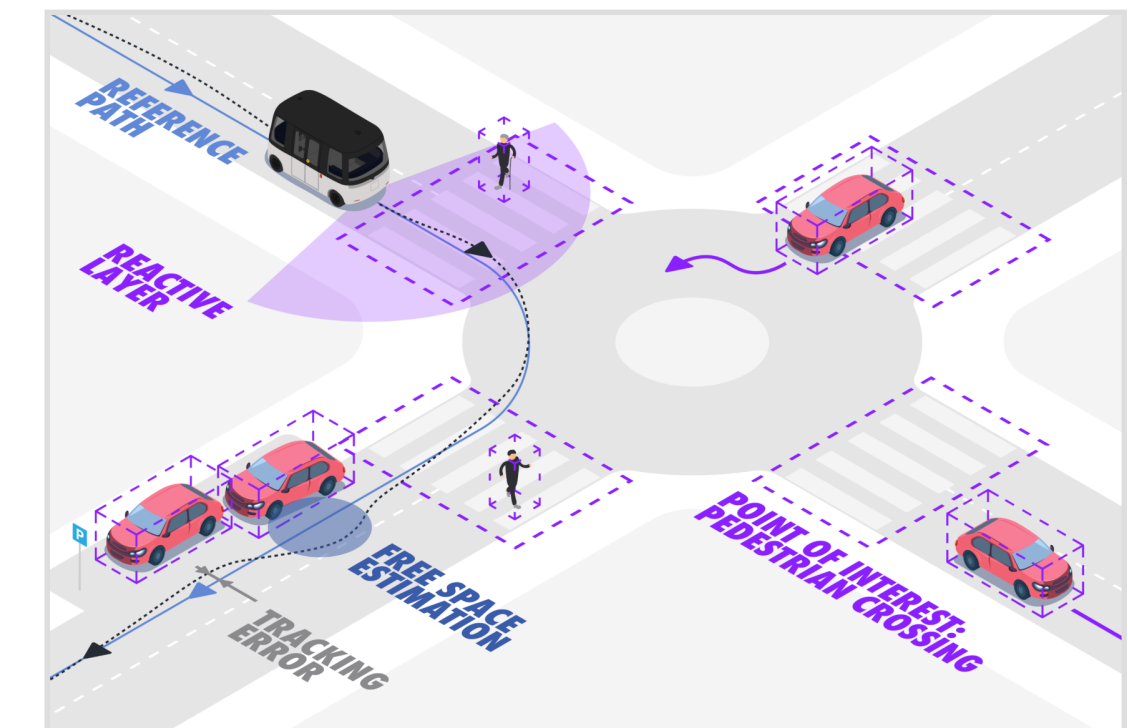
Data is Often Limited
in real-world and all we may have is a collection of
Demonstration Sequences

Learning to Search from Demonstrations is tricky because:

- Limited **state space coverage**
- **No exploration**
- **Compounding Errors** for planning



Reasoning in LLMs

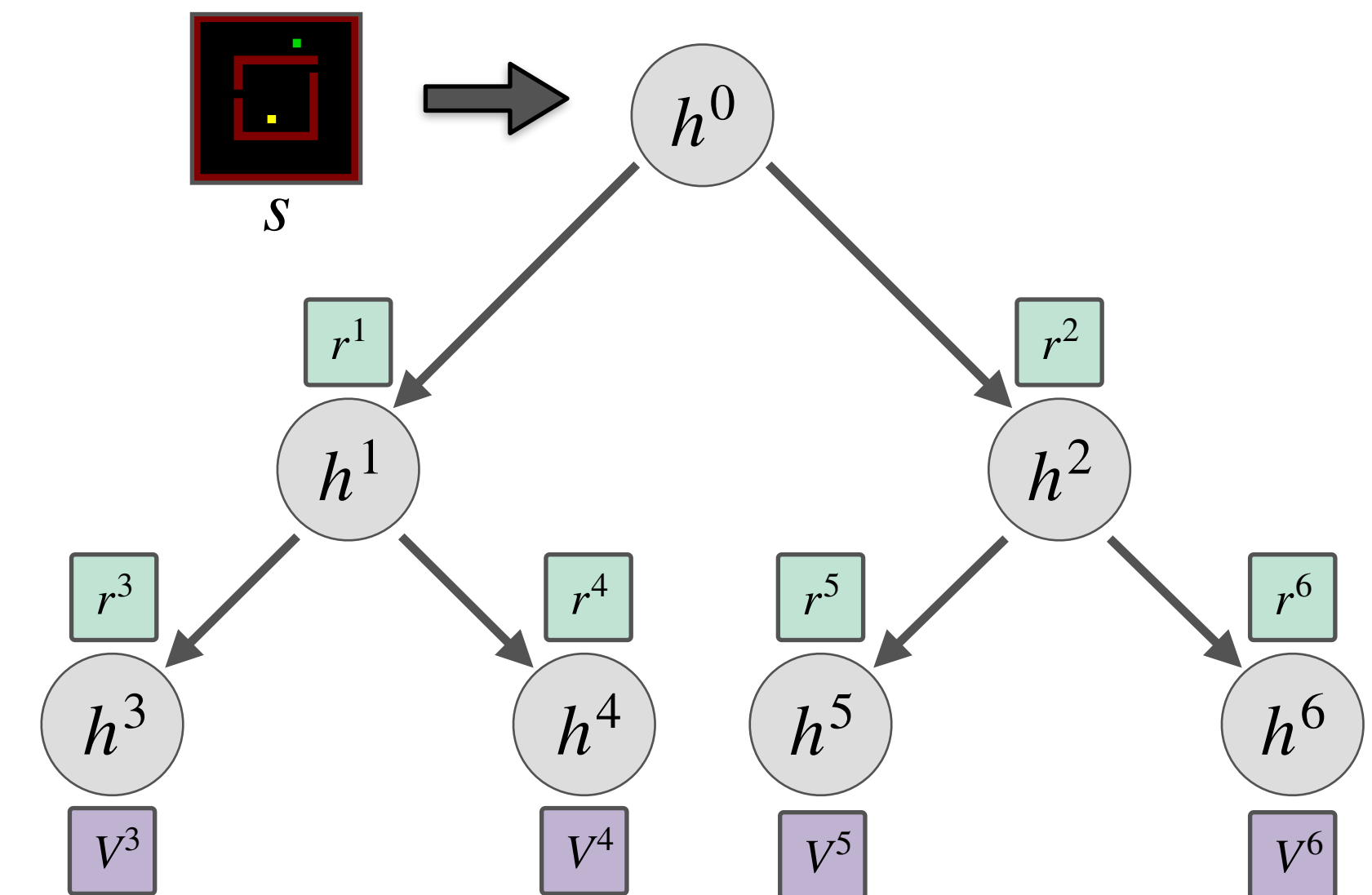


**Real World
Autonomous Driving**

EXISTING APPROACHES

Search Tree as Parametric Policy
and directly learn the mapping from state to action

TreeQN
expands the full search tree upto a fixed depth
and backups the value to the root node



TREEQN: STRENGTHS & LIMITATIONS

STRENGTHS

Planning inductive bias

No dependency on Simulator

LIMITATIONS

TreeQN is **exponential** in depth

Infeasible to perform **deeper** search

Performance suffers in **complex** problems

DIFFERENTIABLE TREE SEARCH NETWORK (D-TSN)

Modular Neural Network Architecture

that comprises of several *learnable submodules*

Algorithmic Inductive Bias

of a flexible and scalable *best-first tree search* algorithm

Learnt World Model

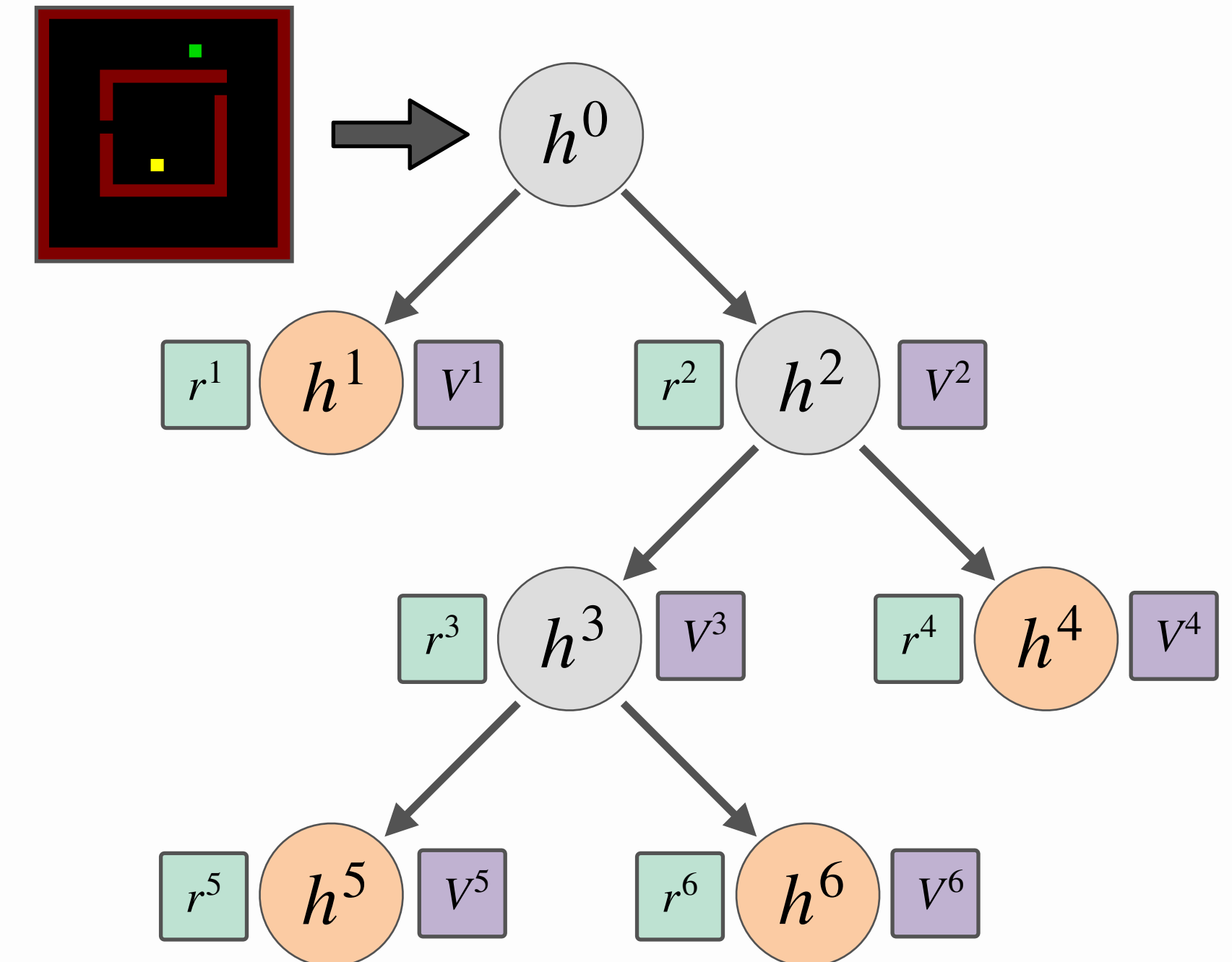
that is trained to be *useful* for the online search, even if inaccurate

Joint Optimisation

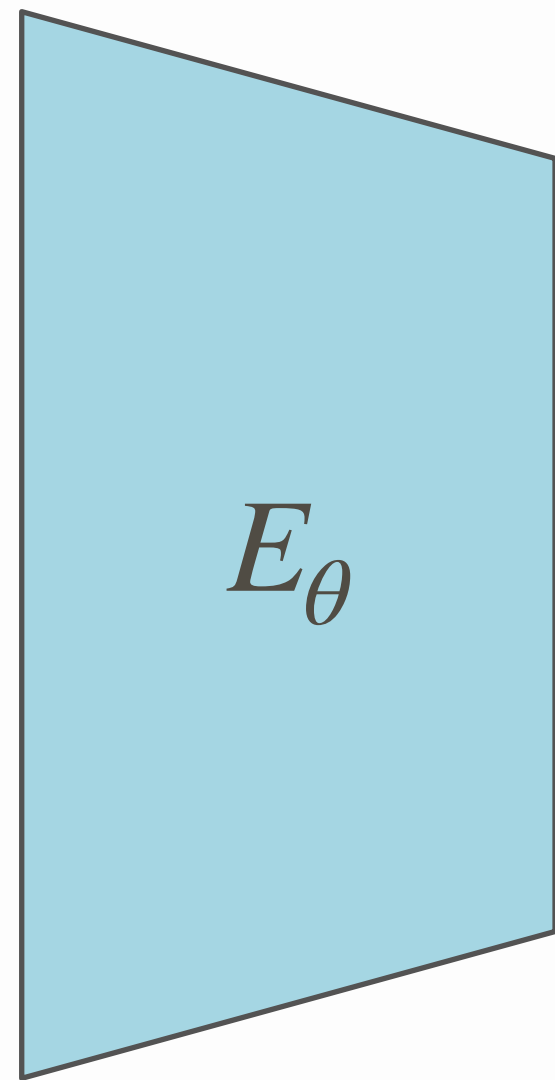
Trains the *search* and *world model* submodules jointly

Additional Technical Details

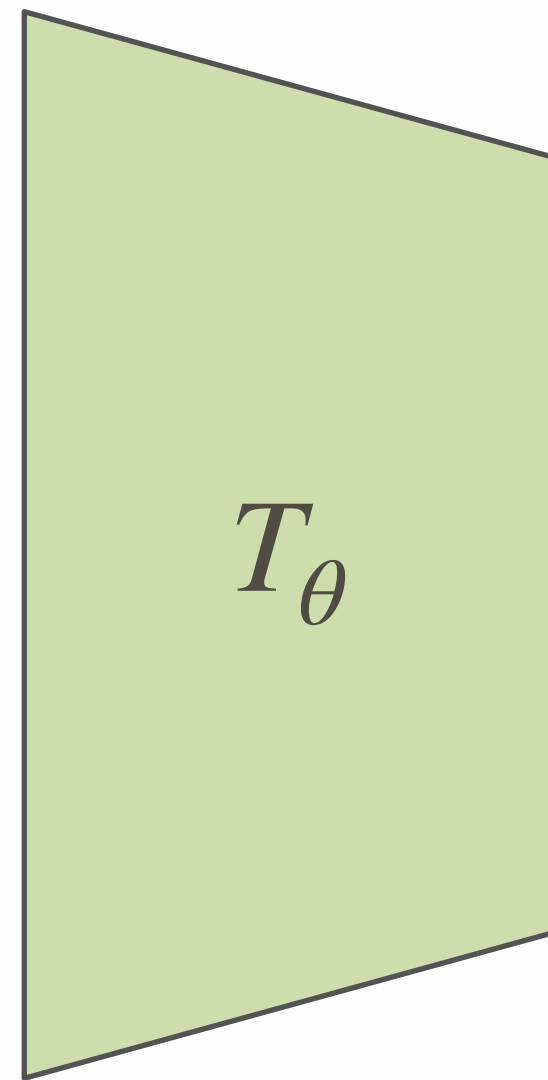
like *Tree Expansion Policy* and *Telescopic Sum for Variance Reduction*



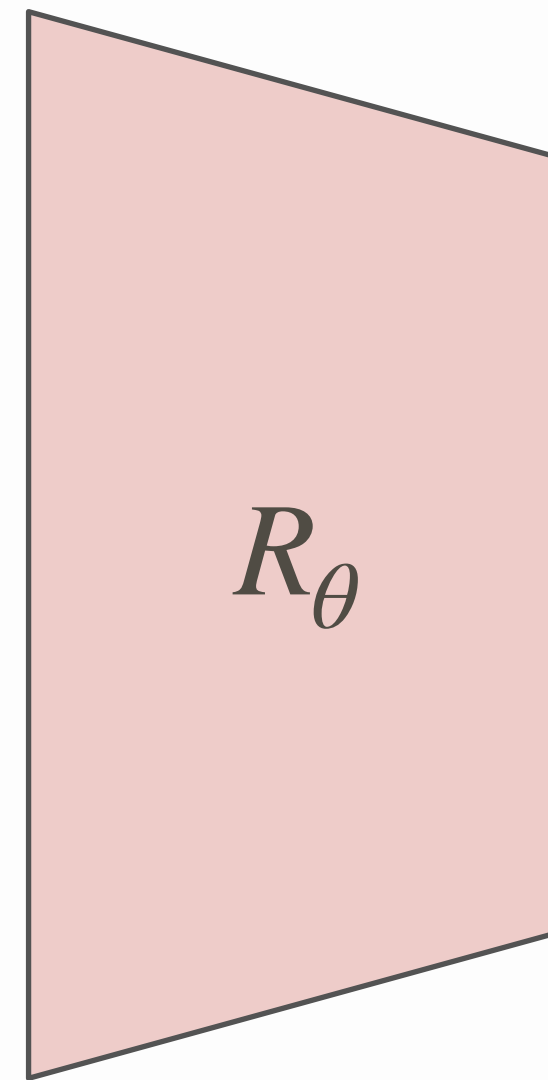
D-TSN: SUBMODULES



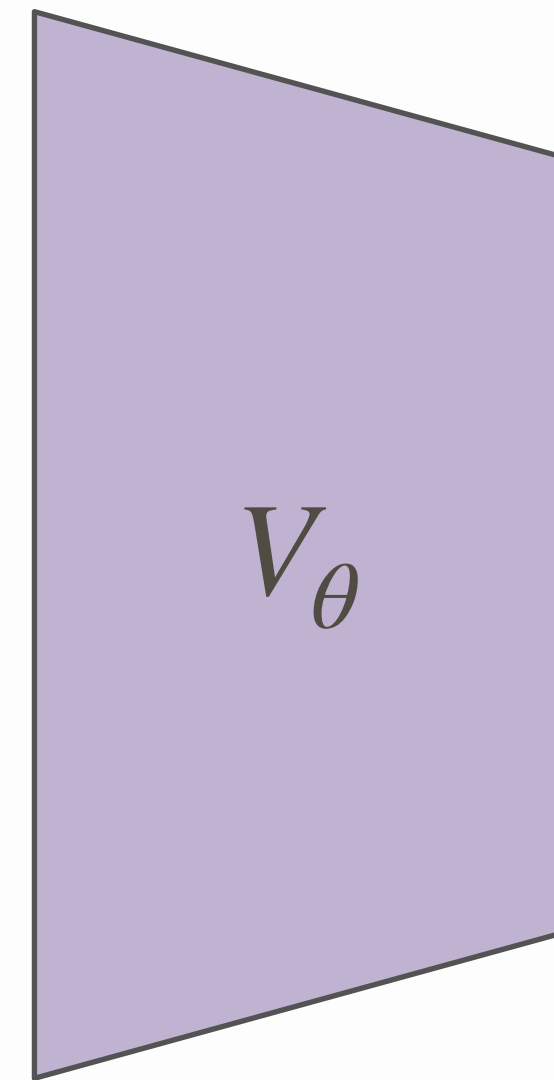
**ENCODER
MODULE**



**TRANSITION
MODULE**

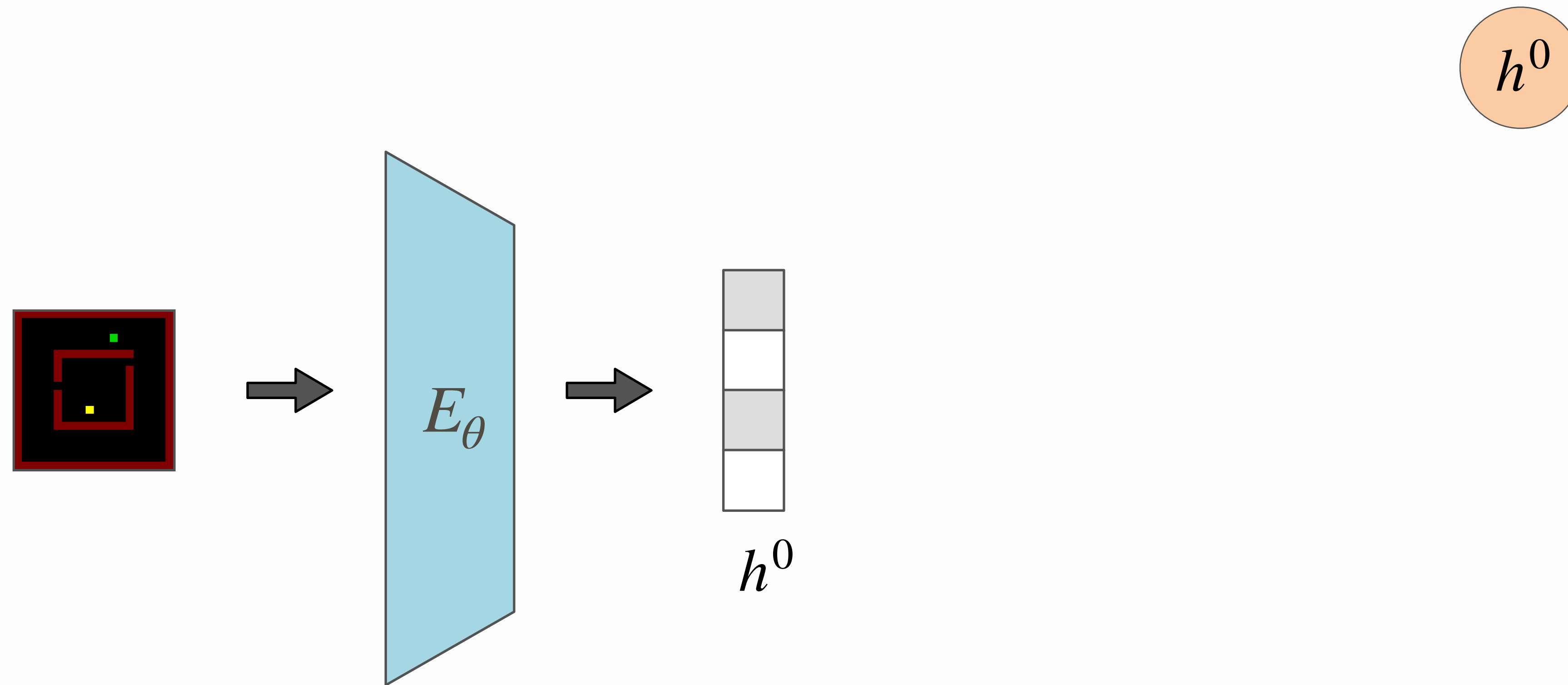


**REWARD
MODULE**

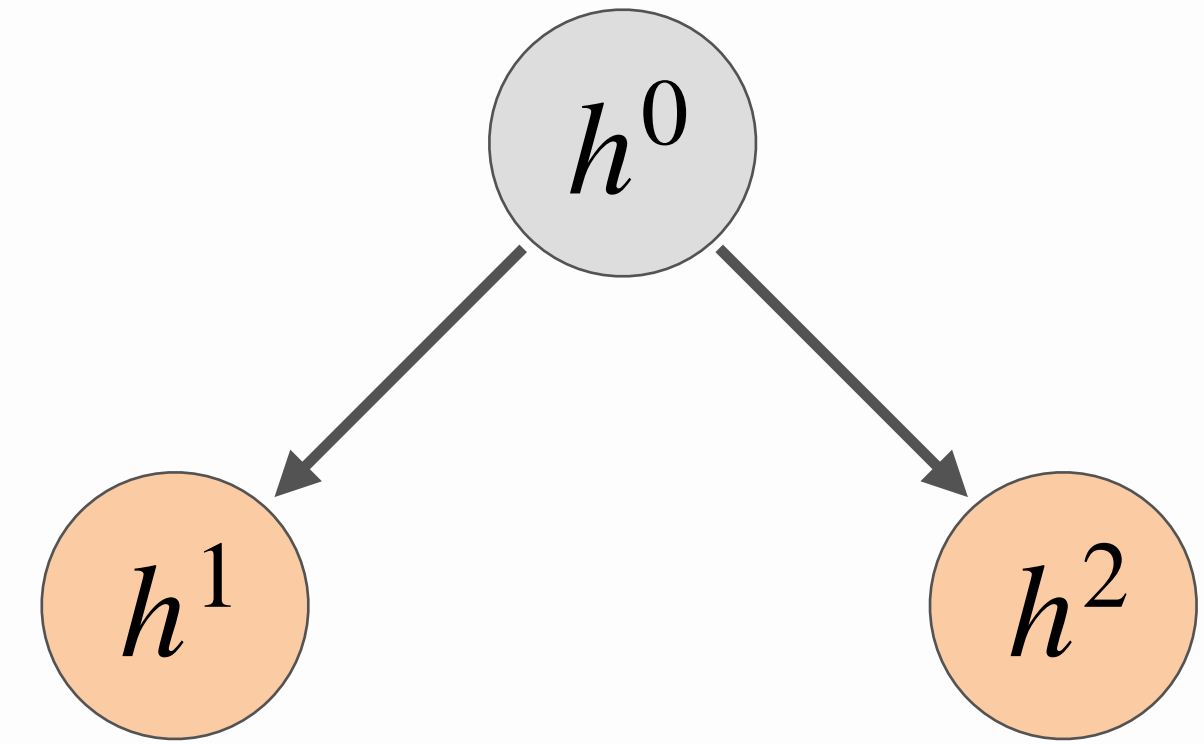
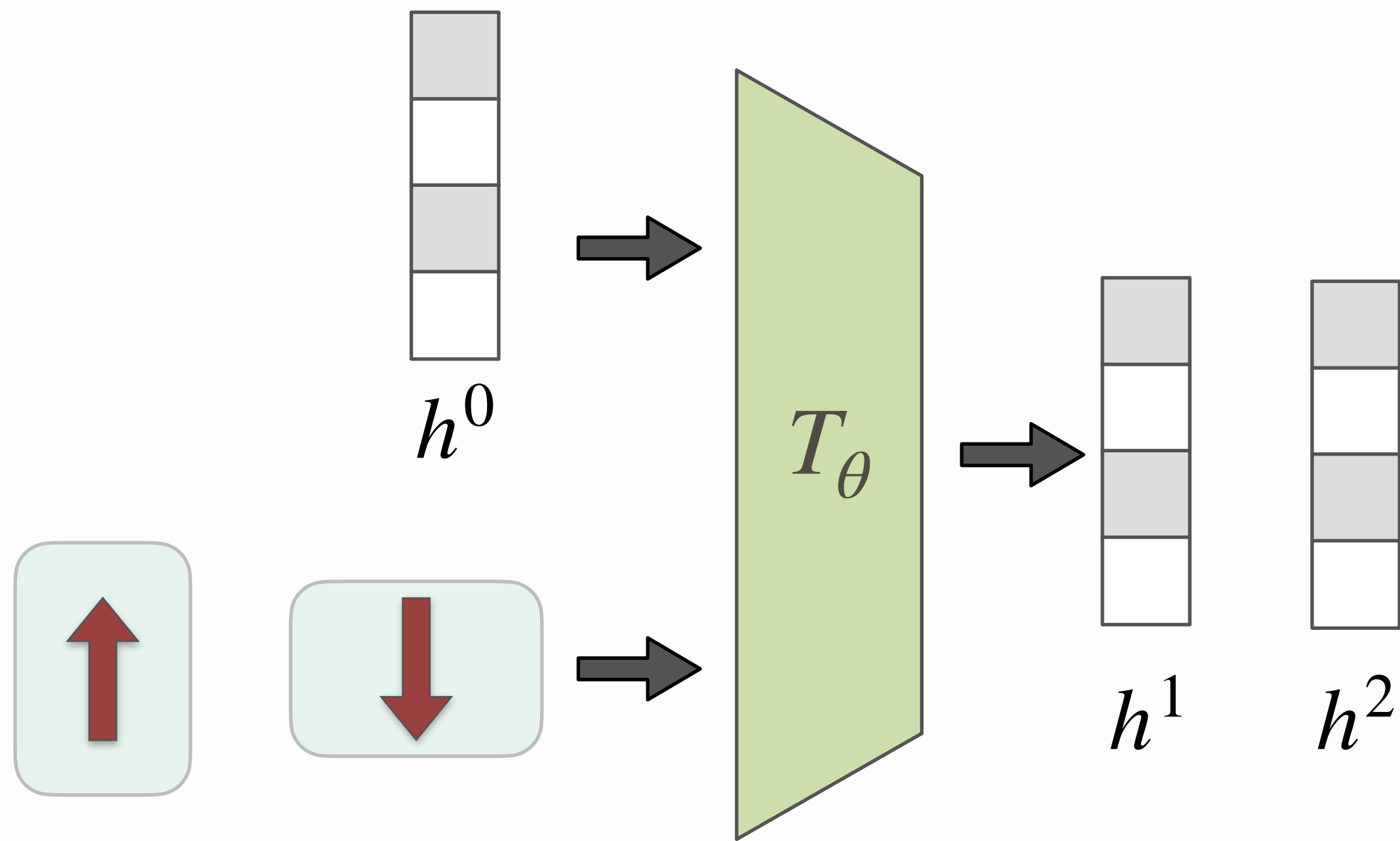


**VALUE
MODULE**

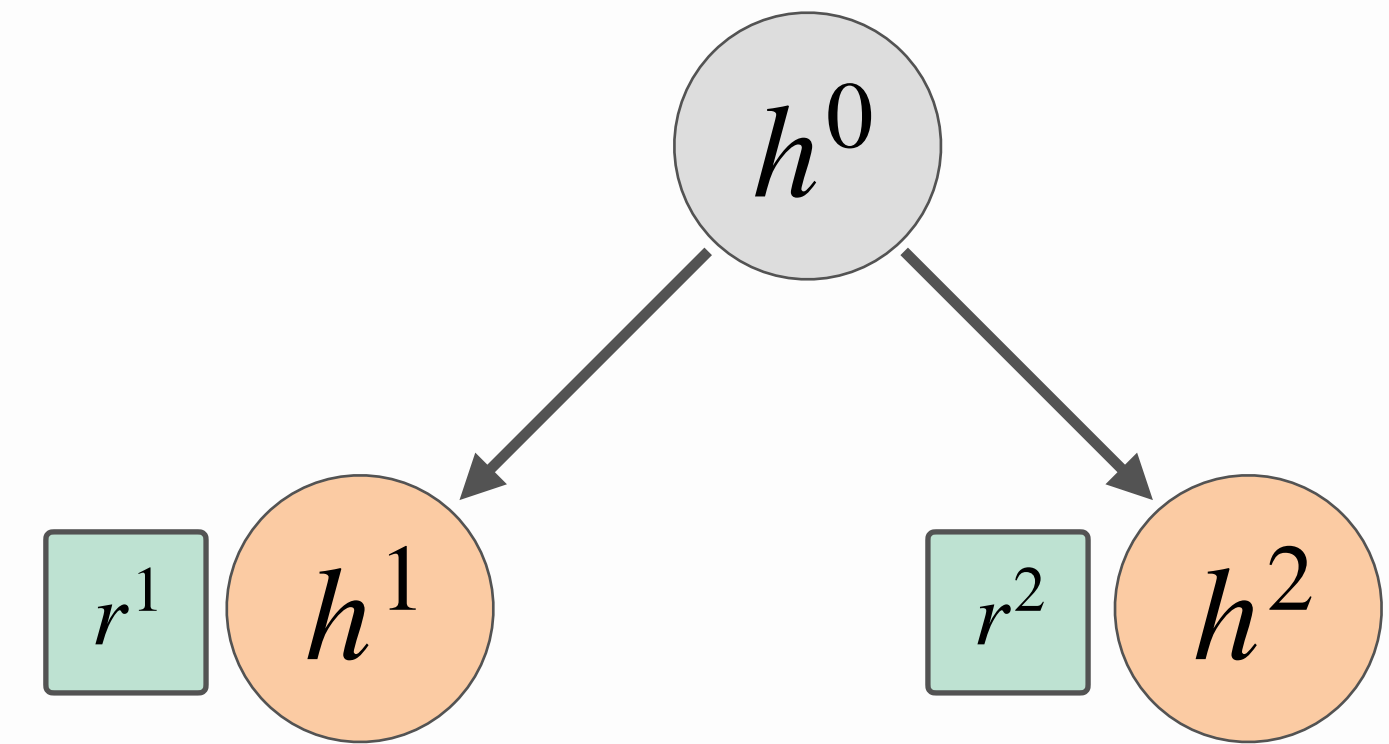
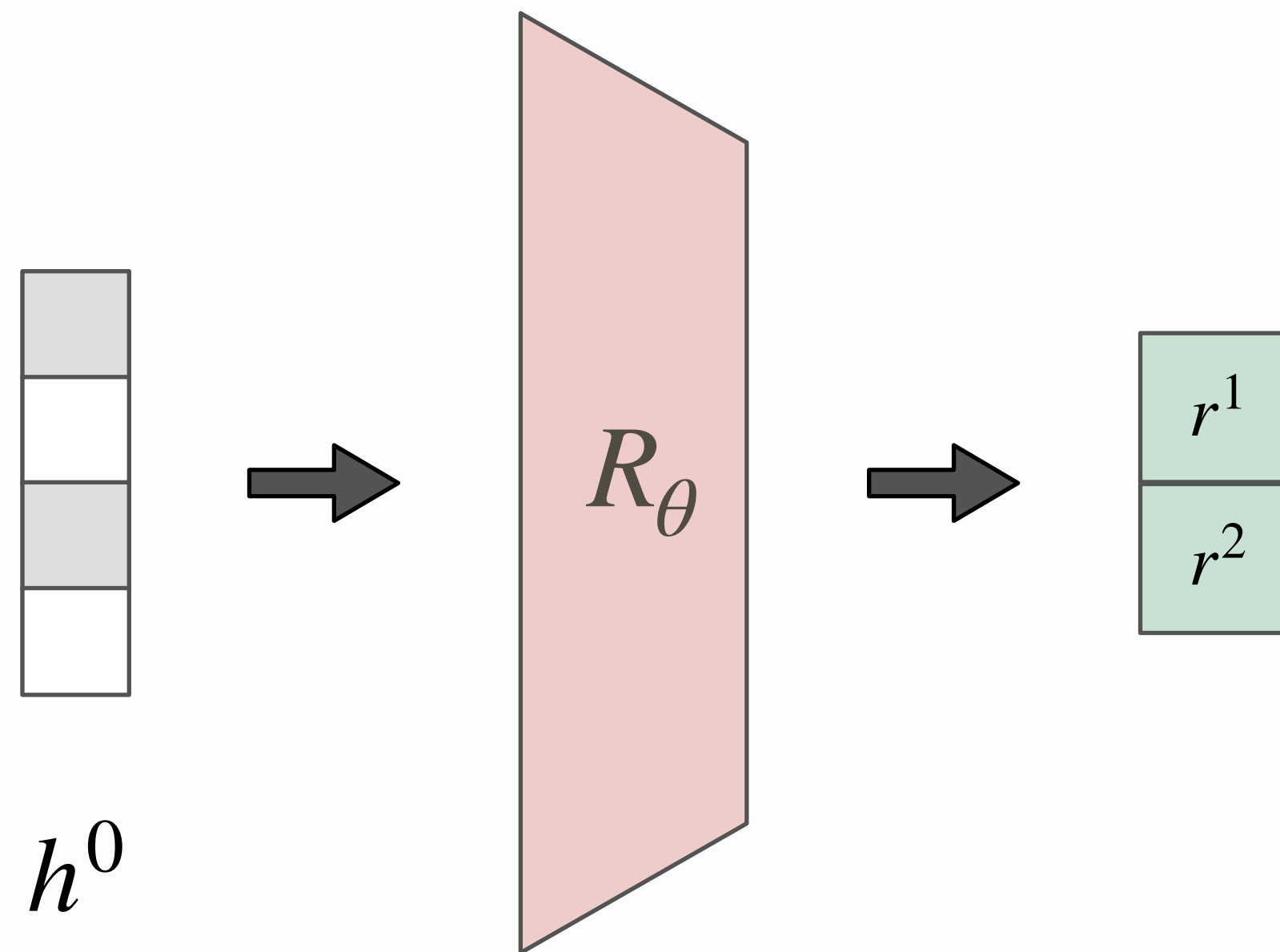
D-TSN: ENCODING



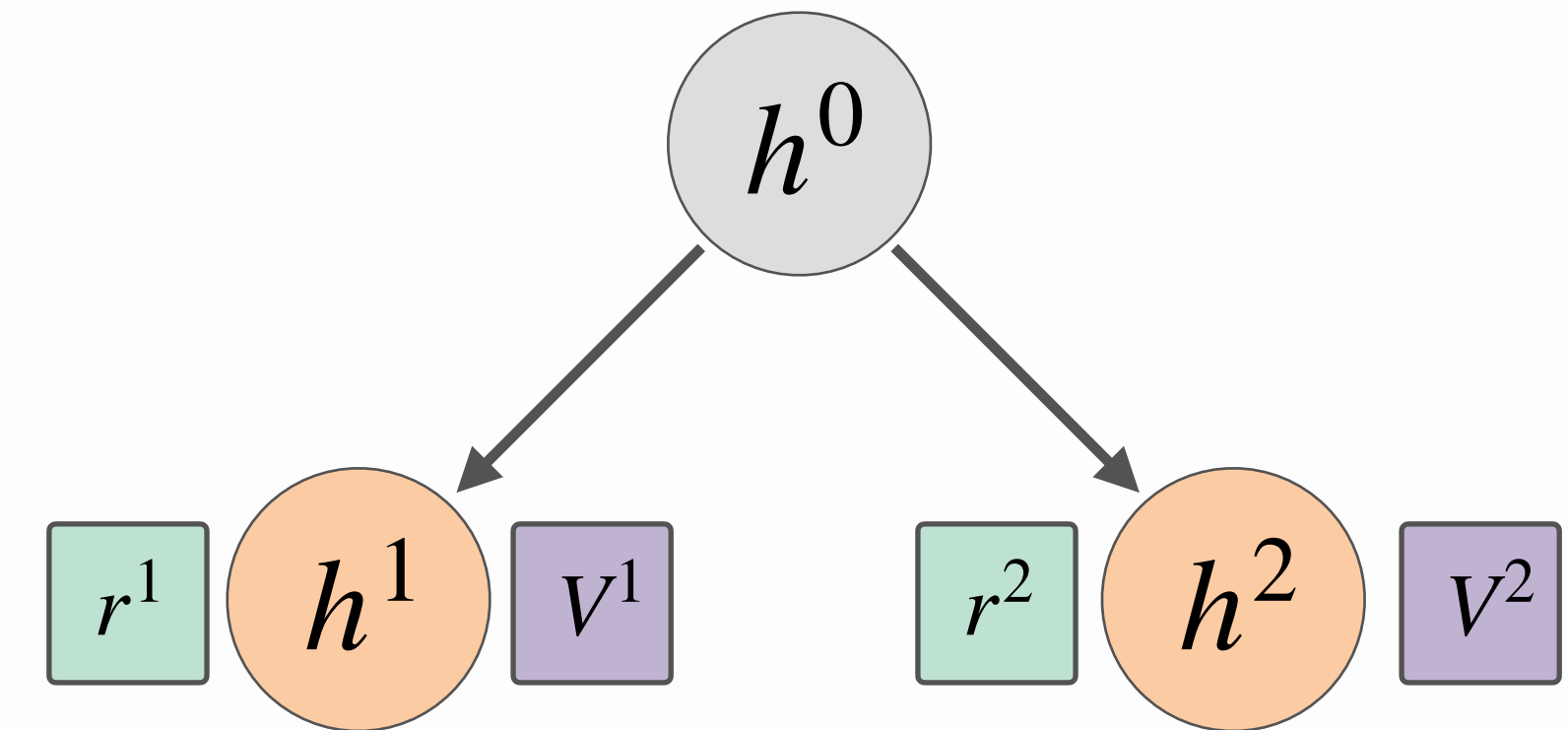
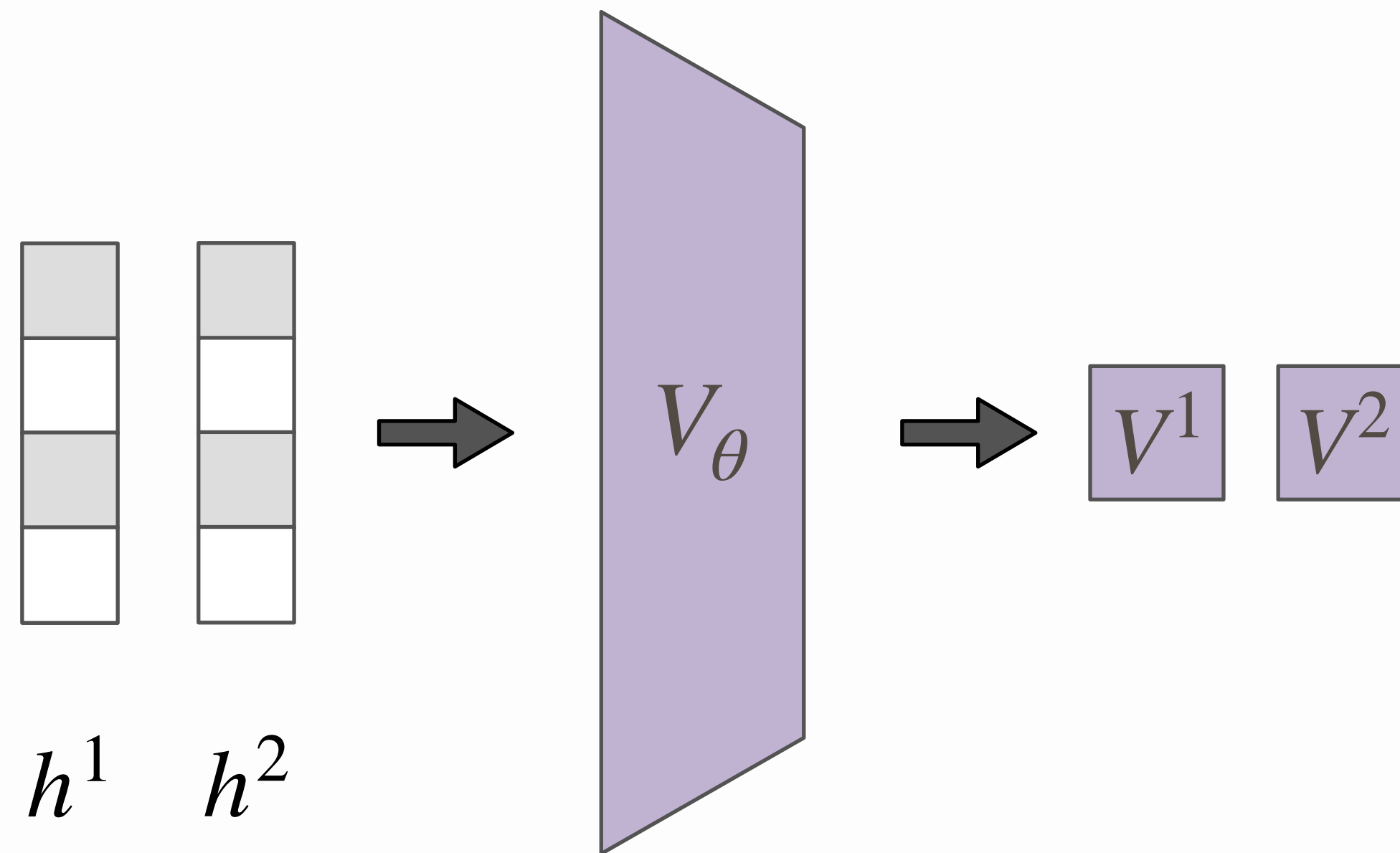
D-TSN: EXPANSION PHASE



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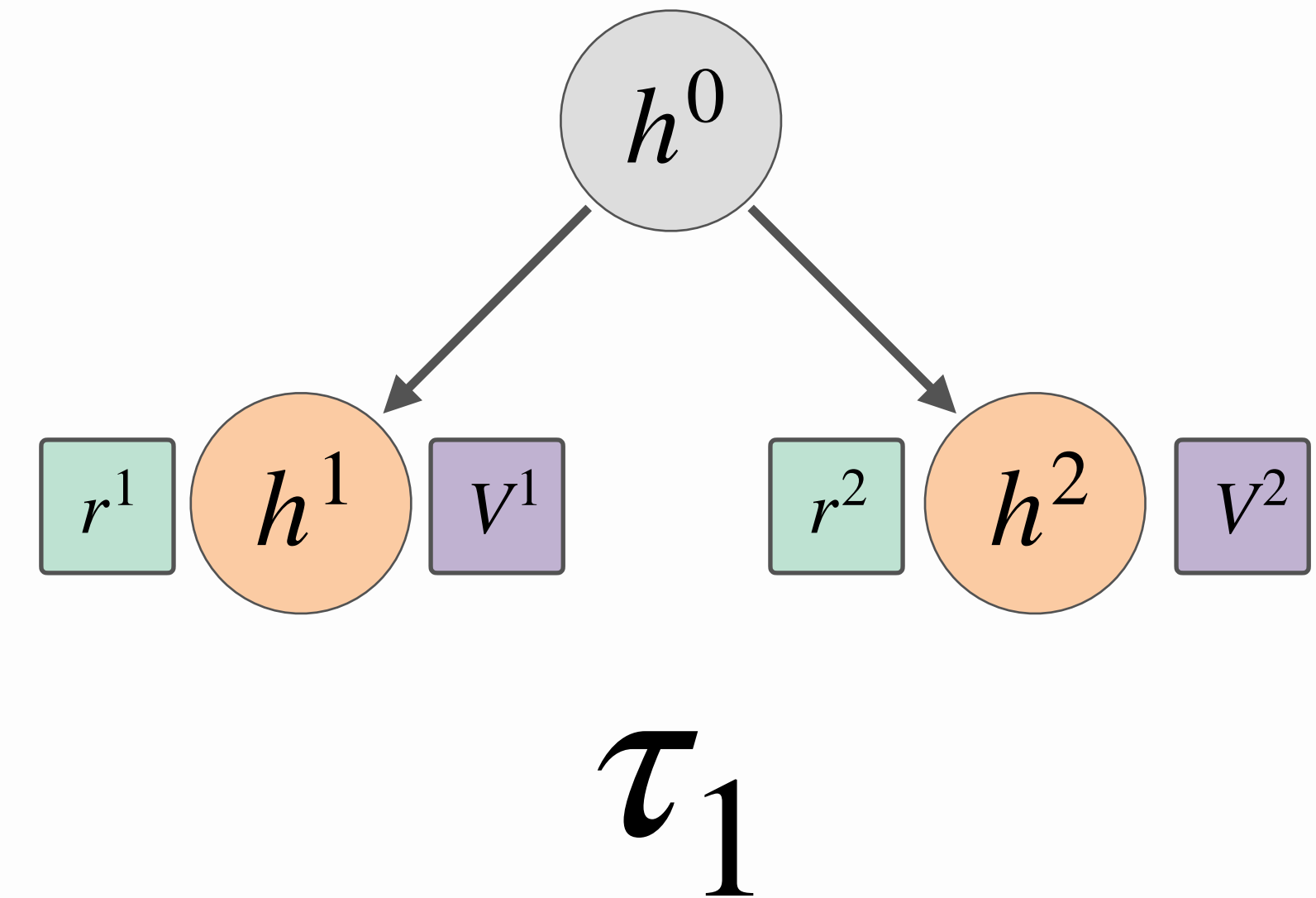
D-TSN: EXPANSION PHASE

Stochastic Tree Expansion Policy

$$n \sim \pi(\tau)$$

$$\pi(\tau_1) = \mathbf{softmax}\left(\begin{array}{c} h^1 \\ h^2 \end{array}\right)$$

$$= \mathbf{softmax}\left(\begin{array}{c} \begin{array}{c} r^1 \\ r^2 \end{array} + \begin{array}{c} V^1 \\ V^2 \end{array} \end{array}\right)$$



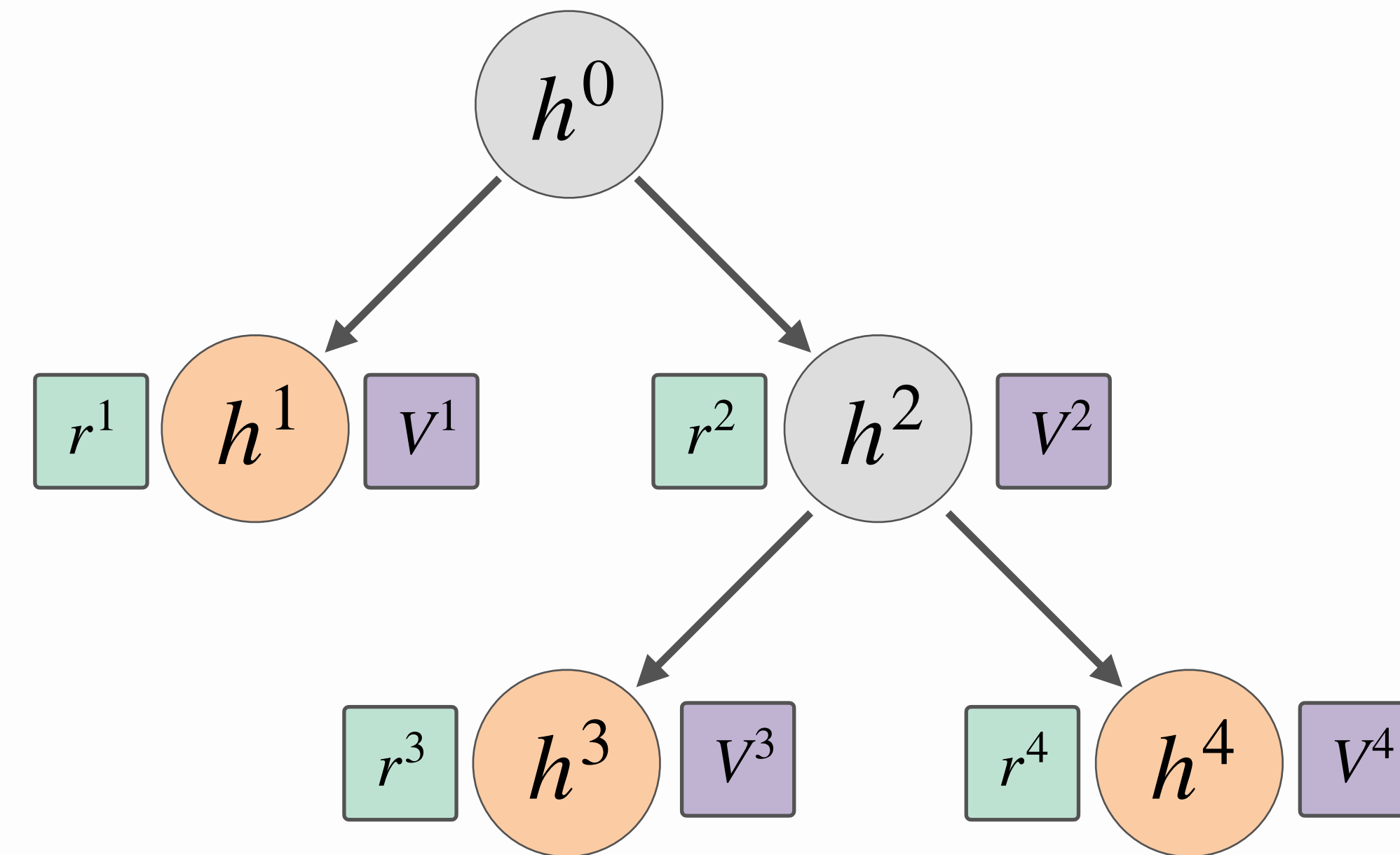
D-TSN: EXPANSION PHASE

Stochastic Tree Expansion Policy

$$n \sim \pi(\tau)$$

$$\pi(\tau_2) = \mathbf{softmax}\left(\begin{matrix} h^1 & h^3 & h^4 \end{matrix}\right)$$

$$= \mathbf{softmax}\left(\begin{matrix} r^1 + V^1 \\ r^2 + r^3 + V^3 \\ r^2 + r^4 + V^4 \end{matrix}\right)$$



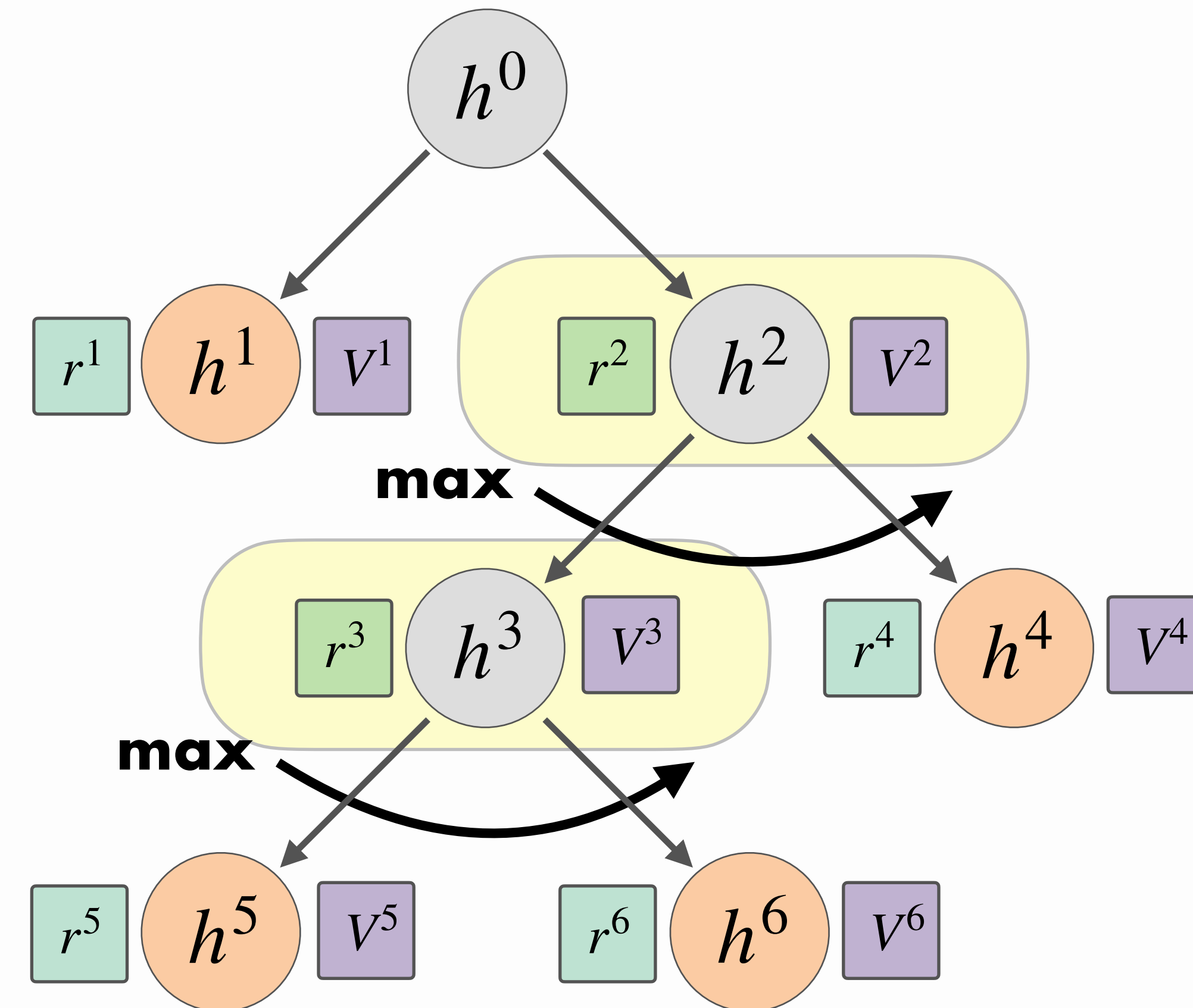
τ_2

D-TSN: BACKUP PHASE

BELLMAN EQUATION

$$Q(h, a) = r(h, a) + V(h')$$

$$V(h) = \max_a [Q(h, a)]$$



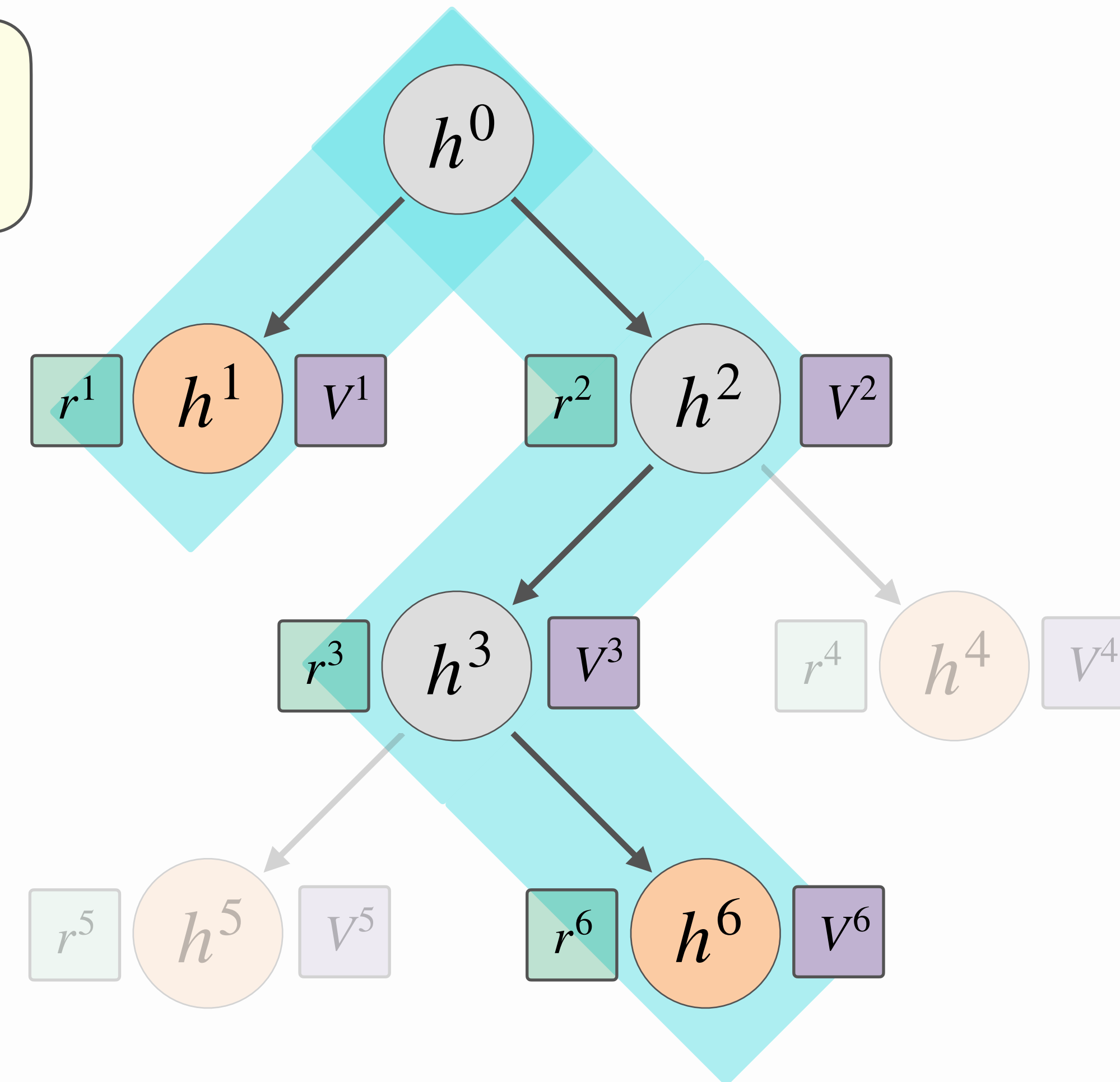
D-TSN: OUTPUT

Model's Output: $Q_{\theta}(s, a)$

Path returning the highest value

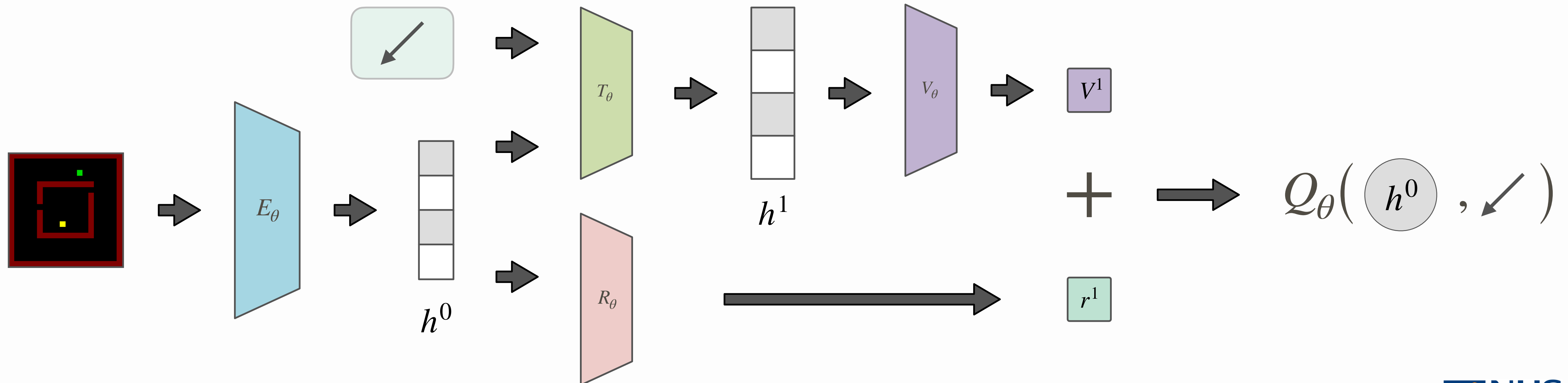
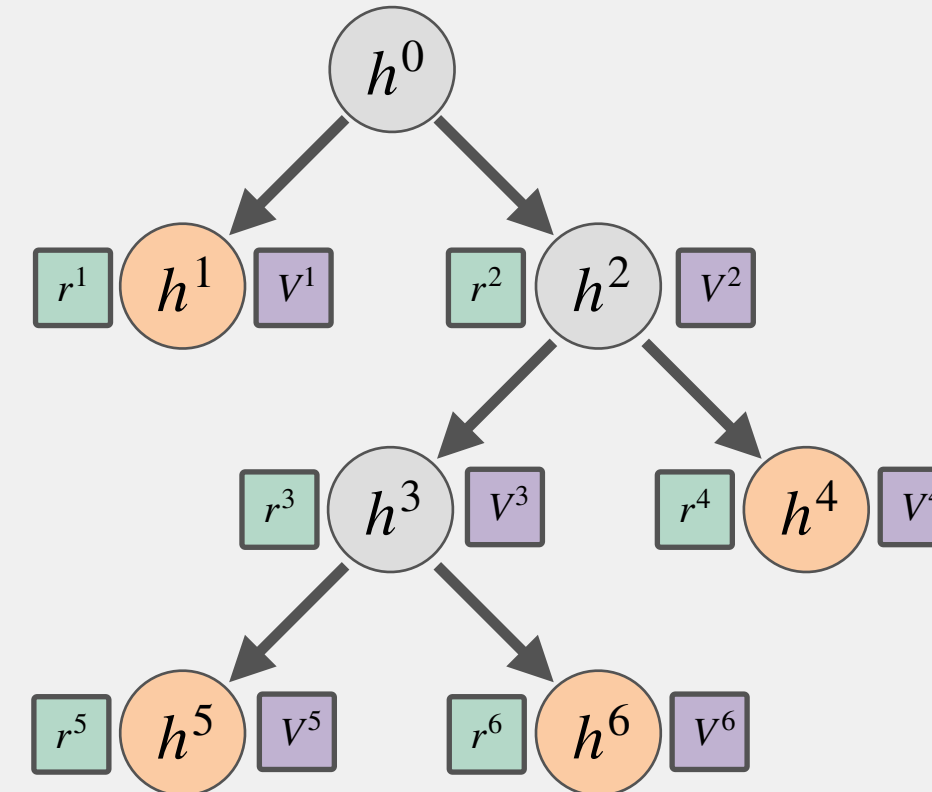
$$Q_{\theta}(h^0, \swarrow) = r^1 + V^1$$

$$Q_{\theta}(h^0, \searrow) = r^2 + r^3 + r^6 + V^6$$



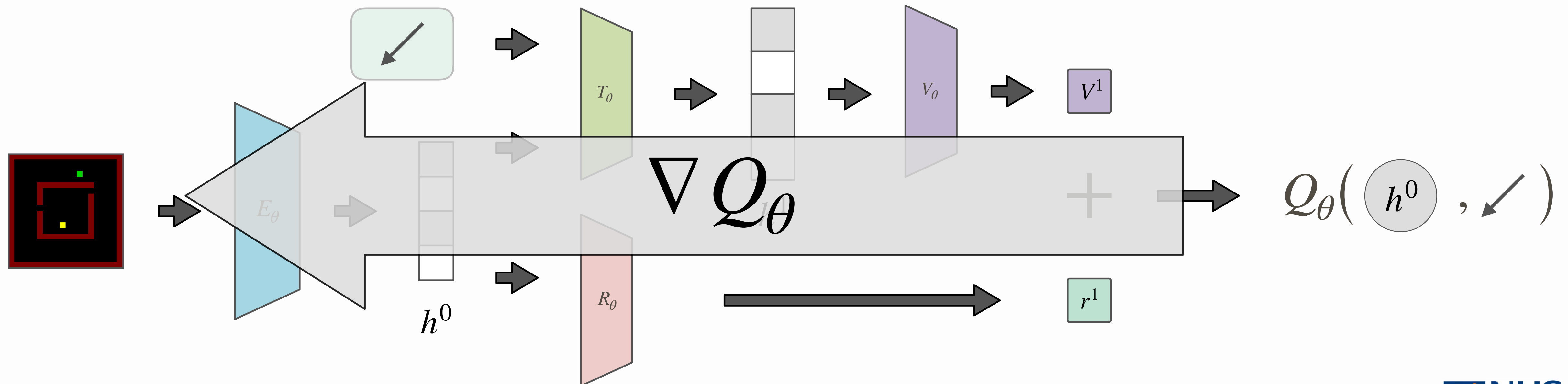
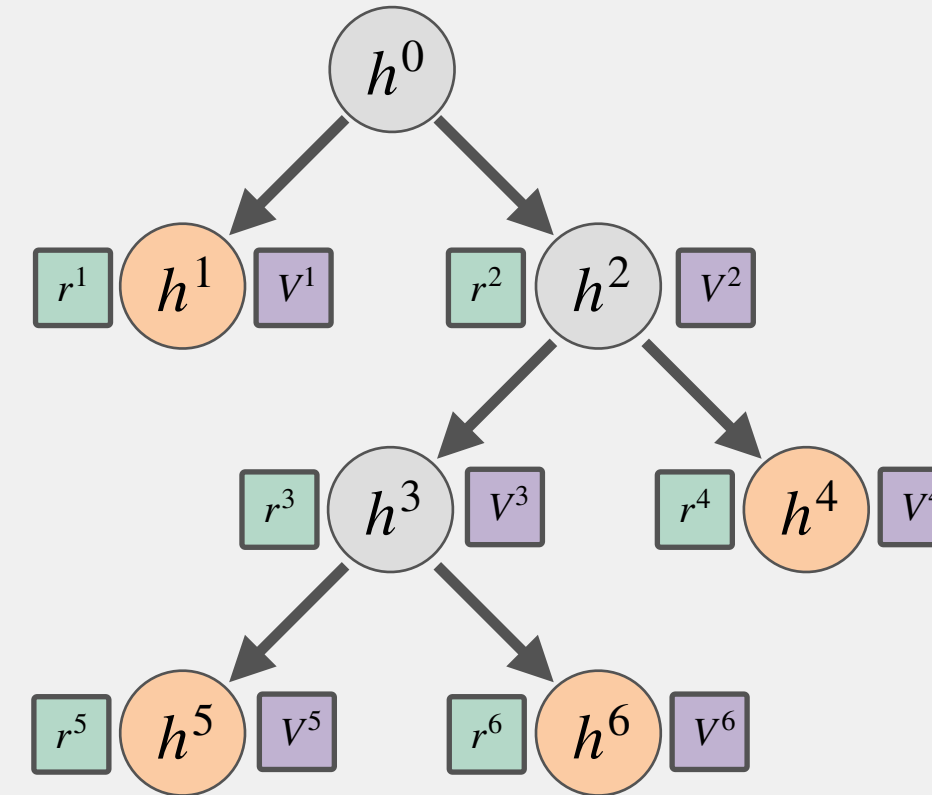
D-TSN: COMPUTATION GRAPH

$$Q_{\theta}(\textcircled{h^0}, \swarrow) = \textcolor{teal}{\square}^{r^1} + \textcolor{purple}{\square}^{V^1}$$



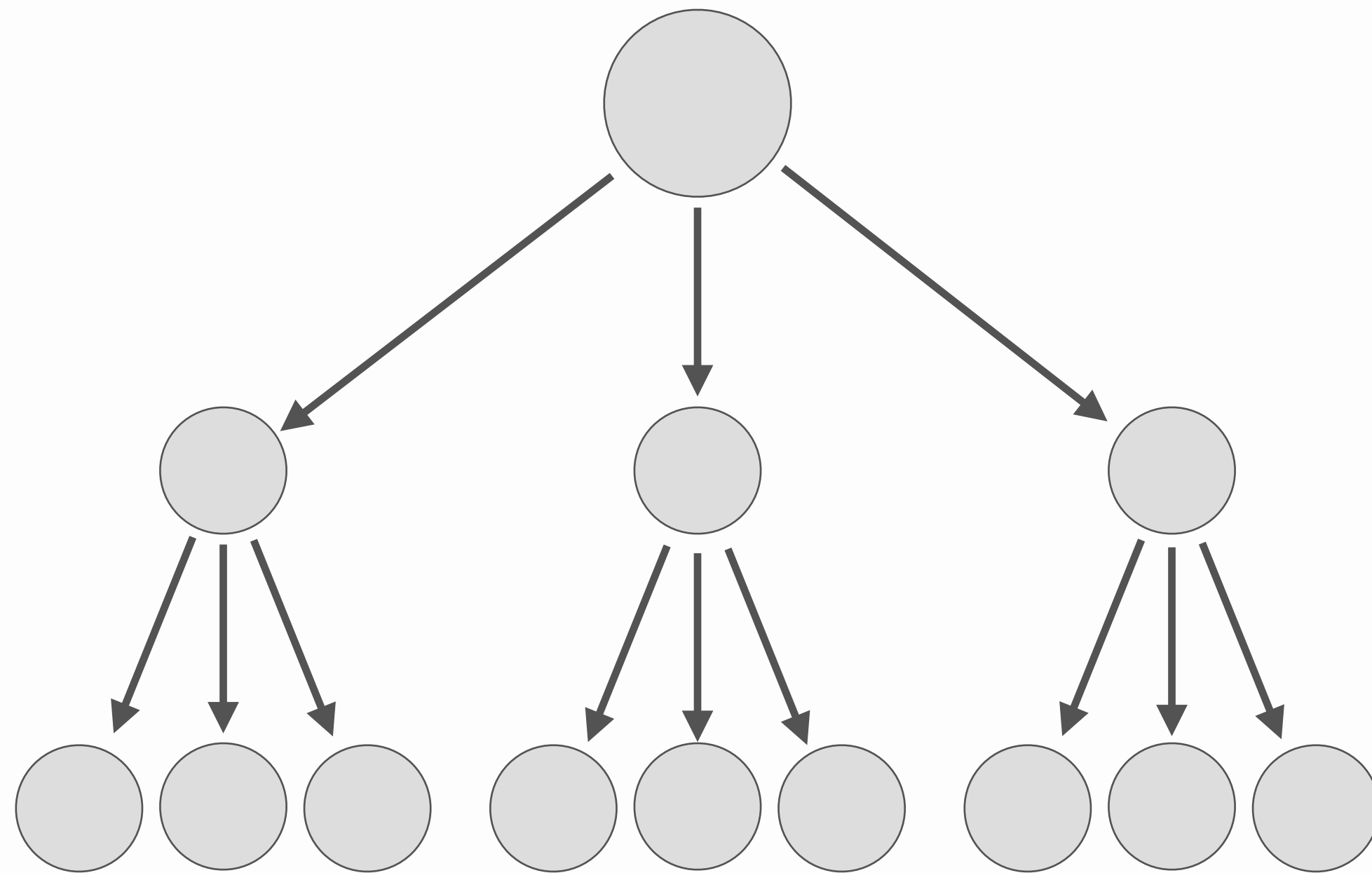
D-TSN: COMPUTATION GRAPH

$$Q_{\theta}(\textcircled{h^0}, \swarrow) = \textcolor{teal}{\square}^{r^1} + \textcolor{purple}{\square}^{V^1}$$



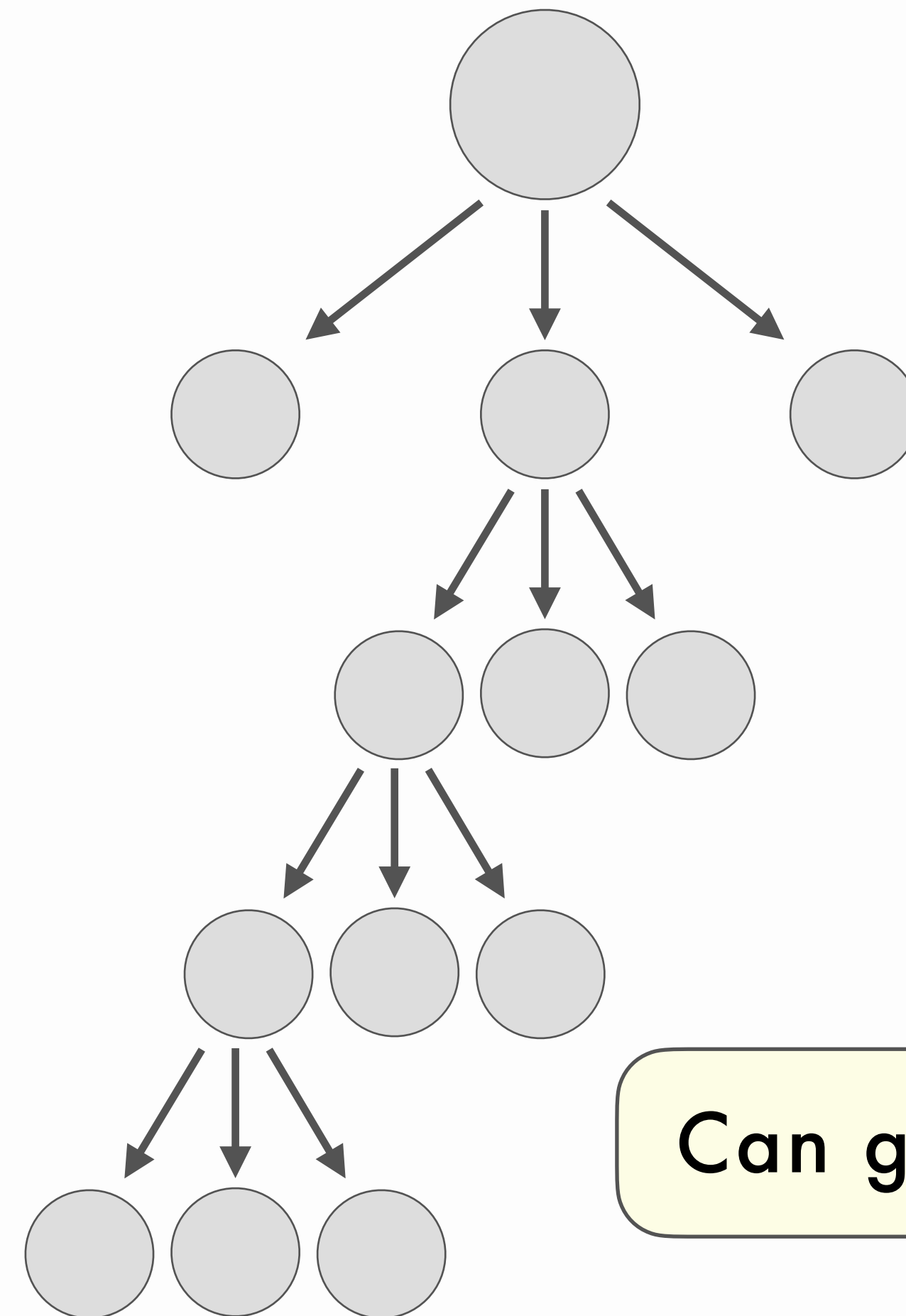
D-TSN: COMPARISON WITH TREEQN

TreeQN



Exponential in Depth

D-TSN

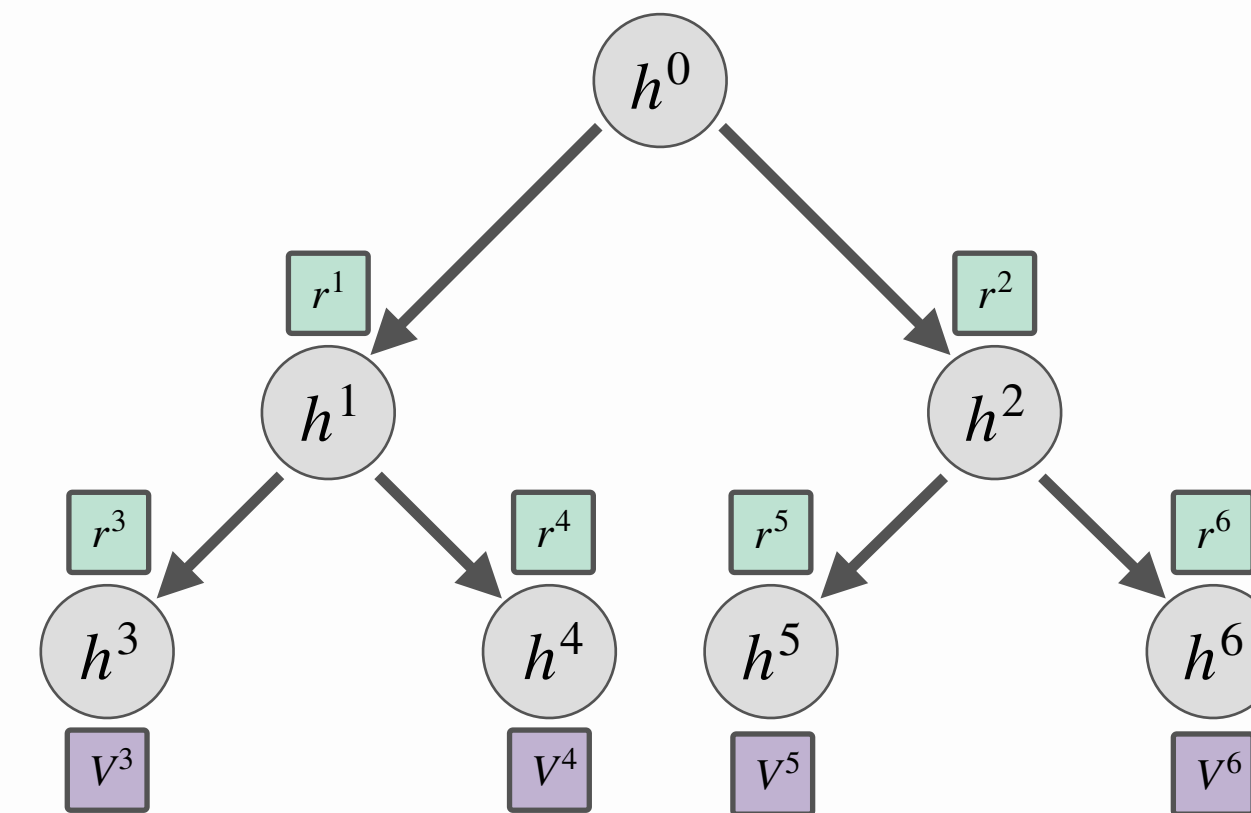


Can go **Deeper**

D-TSN: CONTINUITY OF LOSS FUNCTION

TreeQN

$$Q(s, Left) = \boxed{r^1} + \max \begin{bmatrix} \boxed{r^3} + \boxed{V^3} \\ \boxed{r^4} + \boxed{V^4} \end{bmatrix}$$
$$Q(s, Right) = \boxed{r^2} + \max \begin{bmatrix} \boxed{r^5} + \boxed{V^5} \\ \boxed{r^6} + \boxed{V^6} \end{bmatrix}$$



$Q_{\theta}(s, a)$ from a **Full Tree Search** are **continuous** in parameter space

$L\left(Q_{\theta}(s, a)\right)$ is **continuous** in parameter space θ

D-TSN: CONTINUITY OF LOSS FUNCTION

D-TSN

A **best-first search** solution is an **approximation** to the **Full Tree Search** solution

$Q_{\theta}(s, a)$ depends upon the sampled tree τ

$L\left(Q_{\theta}(s, a | \tau)\right)$ can be **discontinuous** in parameter space θ

D-TSN: EXPECTED LOSS FUNCTION

$$\mathbf{Loss} = \mathbb{E}_{\tau} \left[L \left(Q_{\theta}(s, a | \tau) \right) \right] = \sum_{\tau} \pi_{\theta}(\tau) L \left(Q_{\theta}(s, a | \tau) \right)$$

Continuous
in the parameter space

D-TSN: EXPECTED LOSS FUNCTION

$$\mathbf{Loss} = \mathbb{E}_{\tau} \left[L \left(Q_{\theta}(s, a | \tau) \right) \right]$$

$$\nabla_{\theta}(\mathbf{Loss}) = \mathbb{E}_{\tau} \left[\sum_{t=1}^T \nabla_{\theta} \log \pi_{\theta}(n_t | \tau_t) L \left(Q_{\theta}(s, a | \tau_T) \right) \right] + \nabla_{\theta} L \left(Q_{\theta}(s, a | \tau_T) \right)$$

Policy Gradient
(to improve tree expansion policy)

Regular Loss Gradient
(on output Q-values)

D-TSN: VARIANCE REDUCTION

$$\nabla_{\theta}(\mathbf{Loss}) = \mathbb{E}_{\tau} \left[\sum_{t=1}^T \nabla_{\theta} \log \pi_{\theta}(n_t | \tau_t) L\left(Q_{\theta}(s, a | \tau_T)\right) + \nabla_{\theta} L\left(Q_{\theta}(s, a | \tau_T)\right) \right]$$

High Variance!

D-TSN: VARIANCE REDUCTION

$$\nabla_{\theta}(\mathbf{Loss}) = \mathbb{E}_{\tau} \left[\sum_{t=1}^T \nabla_{\theta} \log \pi_{\theta}(n_t | \tau_t) L(Q_{\theta}(s, a | \tau_T)) + \nabla_{\theta} L(Q_{\theta}(s, a | \tau_T)) \right]$$

Let $L_T = L(Q_{\theta}(s, a | \tau_T))$ **and** $L_0 = 0$

$$L_T = L_T - L_0$$

$$= L_T - L_{T-1} + L_{T-1} - L_0$$

$$= \sum_{t=1}^T L_t - L_{t-1}$$

Telescopic Sum

D-TSN: VARIANCE REDUCTION

Treat **Tree Expansion** as another decision making problem with goal of reducing Loss value after t^{th} expansion.

Define

– Reward as

$$r_t = L_t - L_{t-1}$$

– Sum of Rewards as

$$R_t = \sum_t^T r_t = L_T - L_{t-1}$$

D-TSN: VARIANCE REDUCTION

$$\begin{aligned}\nabla_{\theta}(\mathbf{Loss}) &= \mathbb{E}_{\tau} \left[\sum_{t=1}^T \left[\nabla_{\theta} \log \pi_{\theta}(n_t | \tau_t) \right] L_T + \nabla_{\theta} L_T \right] \\ &= \mathbb{E}_{\tau} \left[\underbrace{\sum_{t=1}^T \left[\nabla_{\theta} \log \pi_{\theta}(n_t | \tau_t) \right] \left[L_T - L_{t-1} \right]}_{\text{Lower variance!}} + \nabla_{\theta} L_T \right]\end{aligned}$$

Lower variance!

EXPERIMENTS: BASELINES

Differentiable Tree Search Network

Differentiable Search + Joint Optimisation

vs

Model-free Q-network

A generic Neural Network based Q-function

Model-based Search

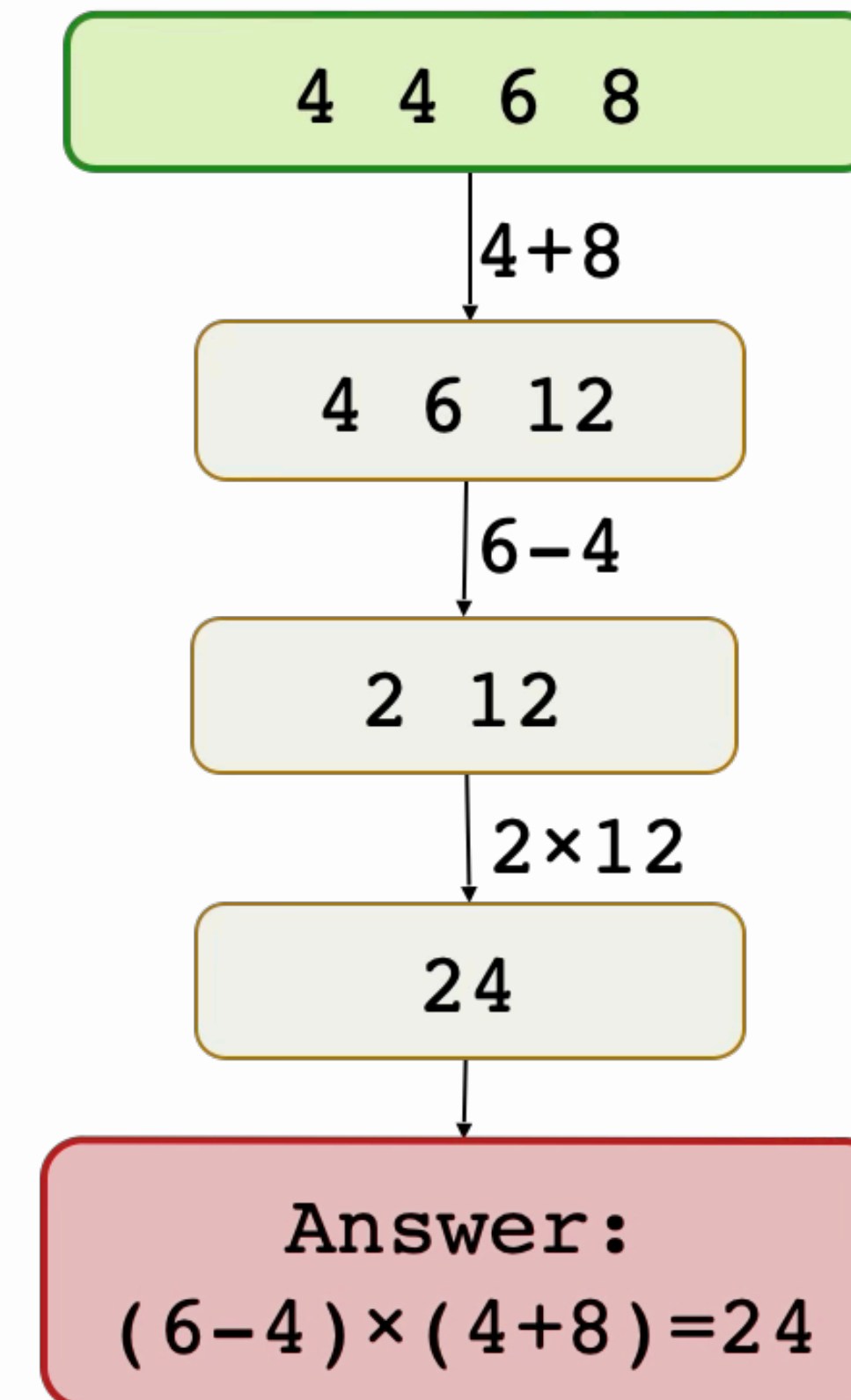
Best-first Tree Search + Learnt World Model
(No joint optimisation)

TreeQN

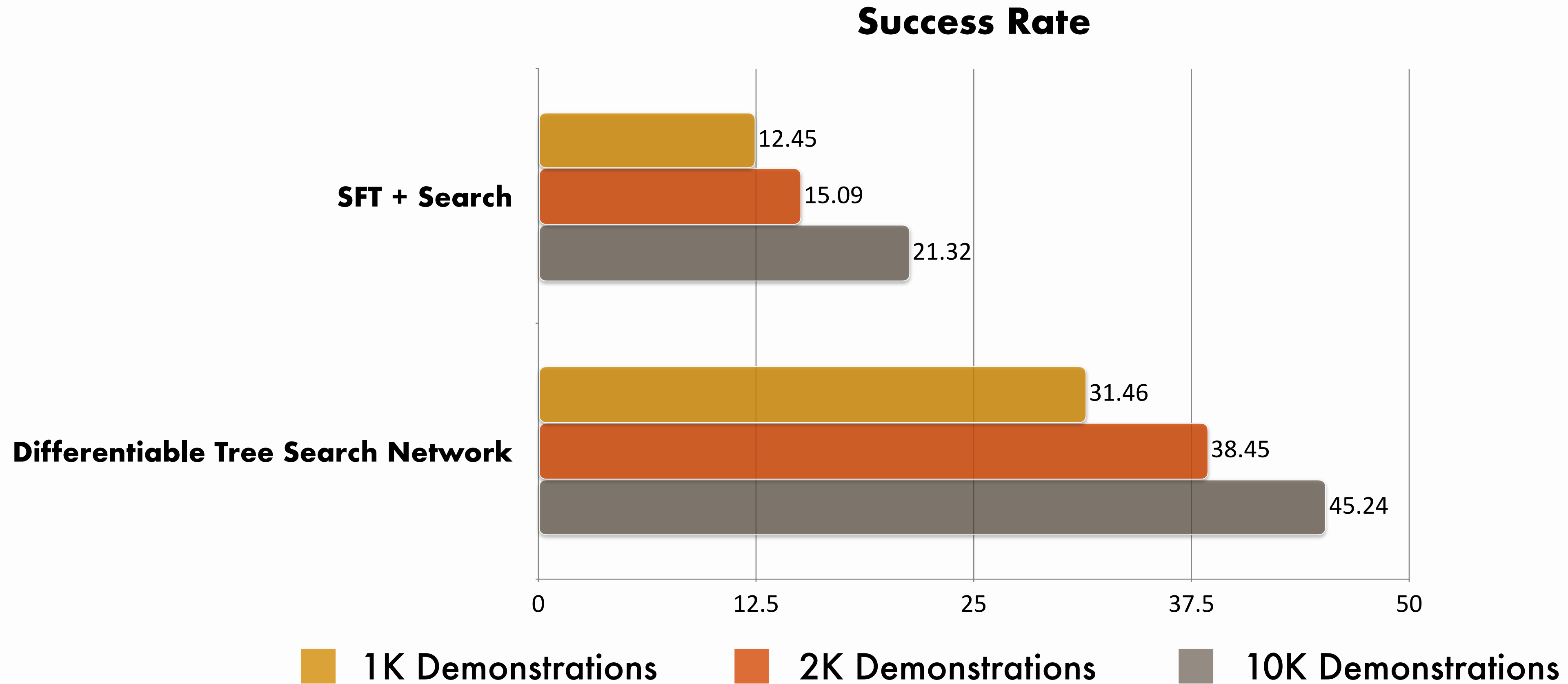
Shallow Tree Search + Joint Optimisation

DOMAIN: GAME OF 24

- **Known** world model
- Actions are: Select **operands** or **operators**
- **527** problems for training

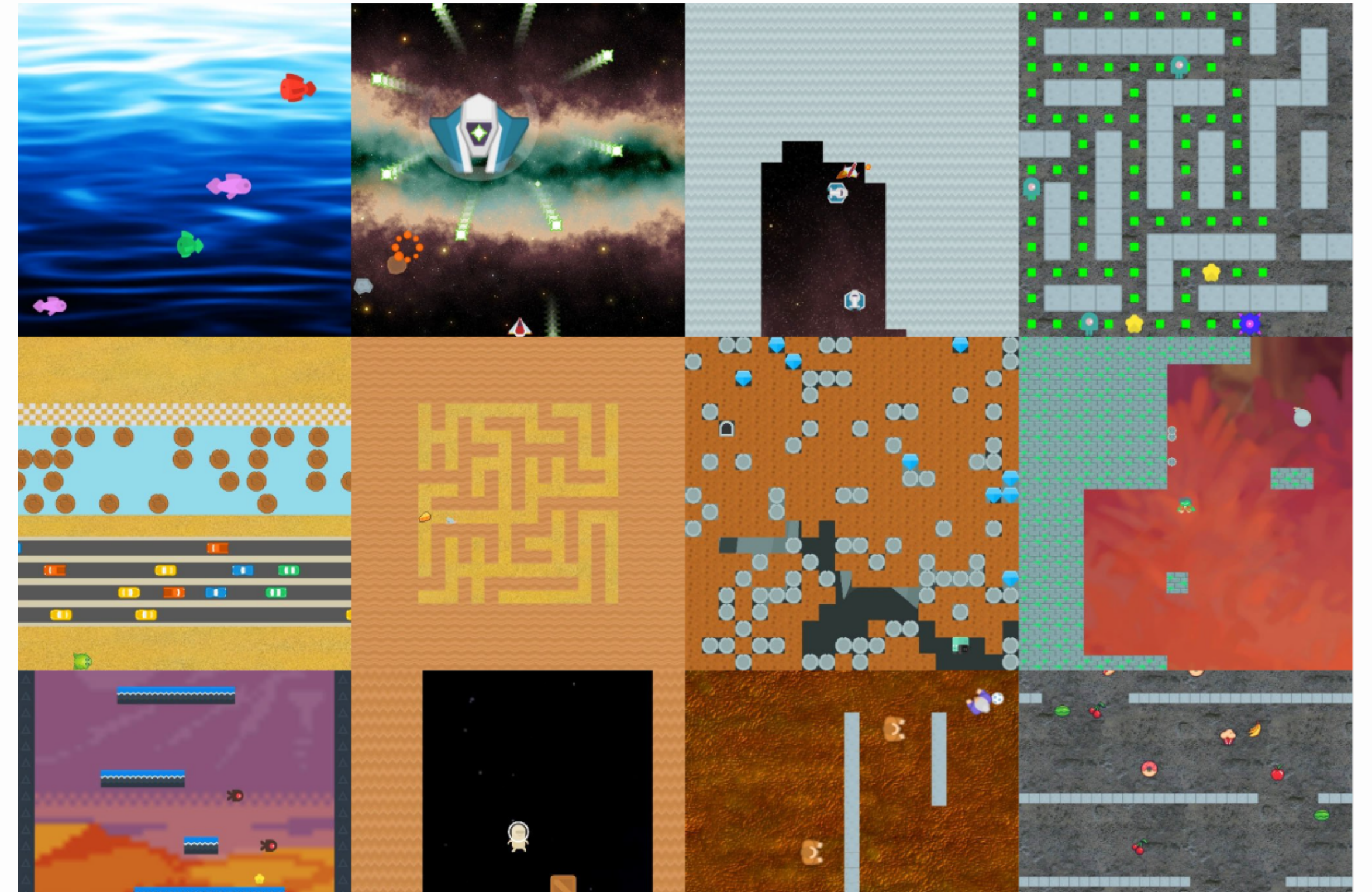


GAME OF 24: RESULTS

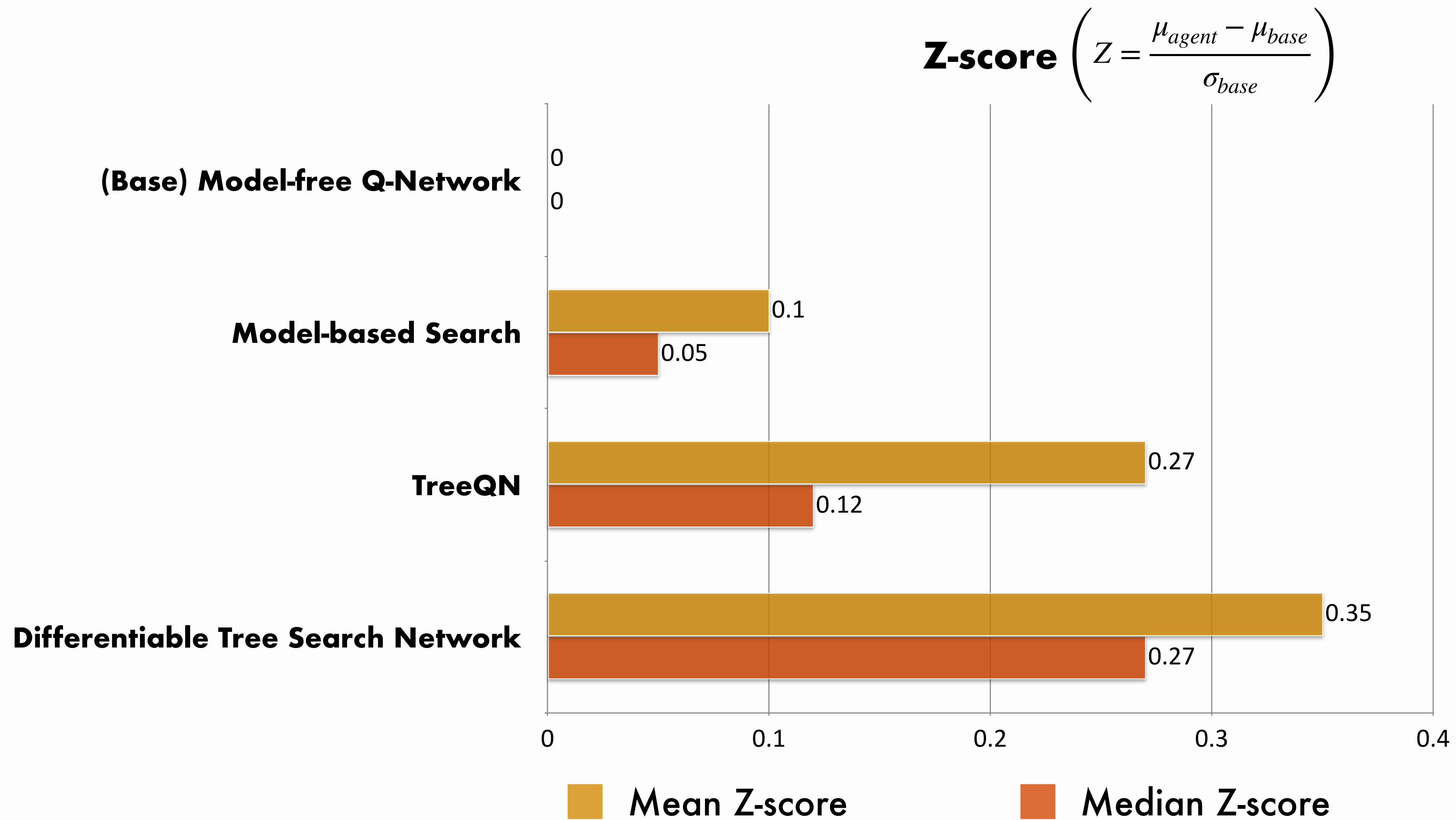


DOMAIN: PROCGEN

- Procedurally generated environments
- **16** different games
- Designed to test **generalisation capability** of an agent's policy
- Collected **1000 Trajectories** for training

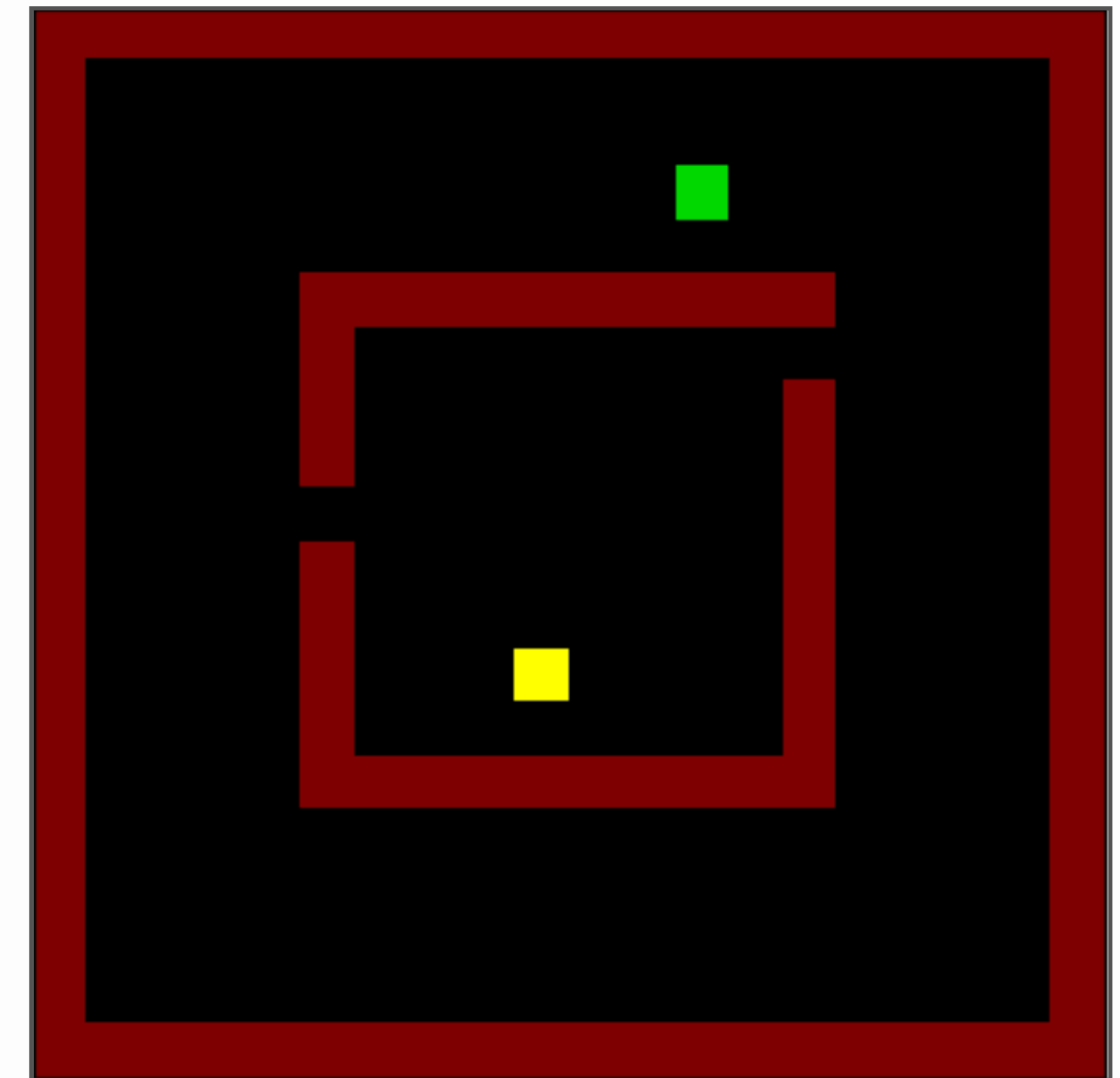


PROCGEN: RESULTS

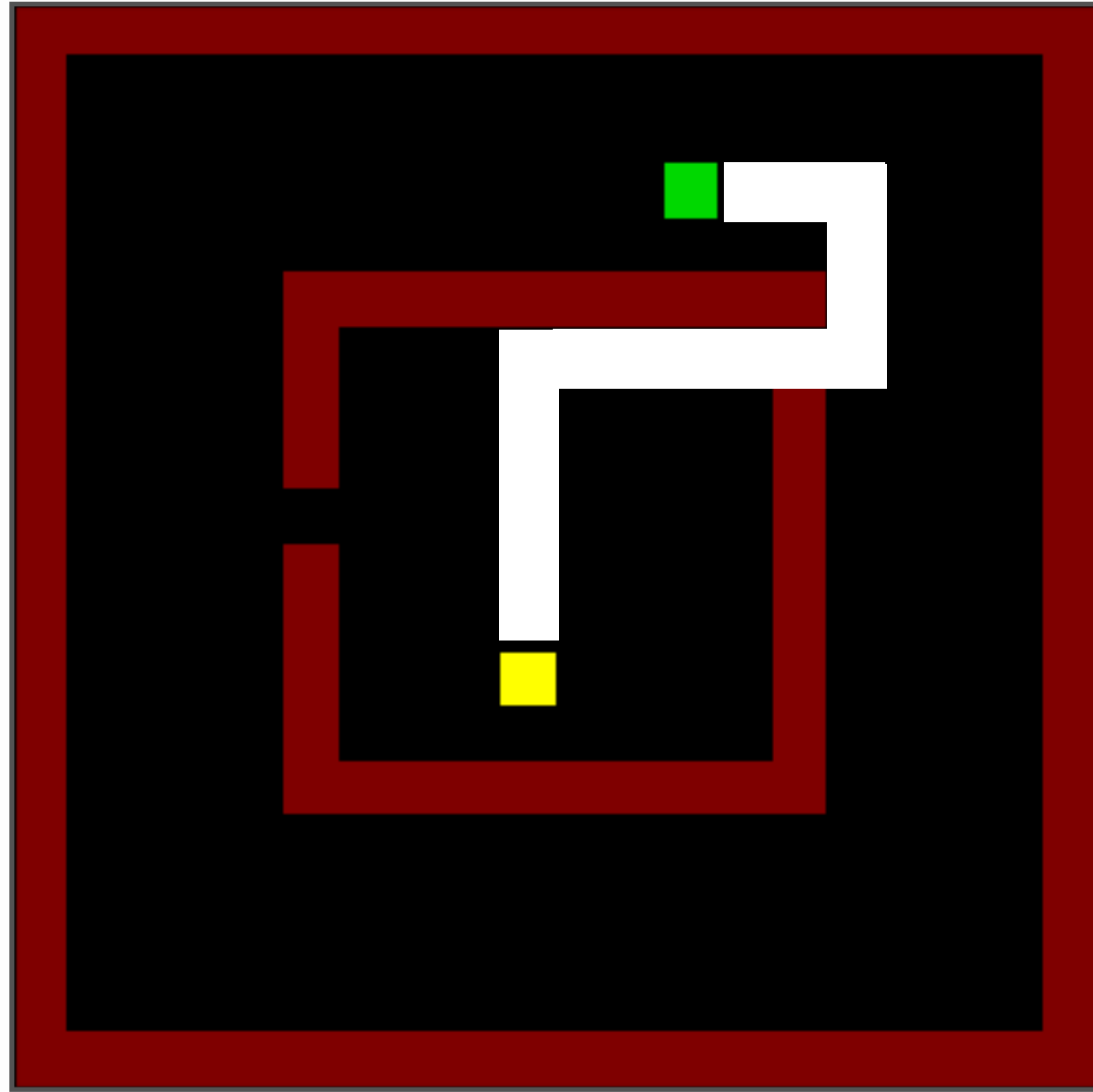


DOMAIN: NAVIGATION

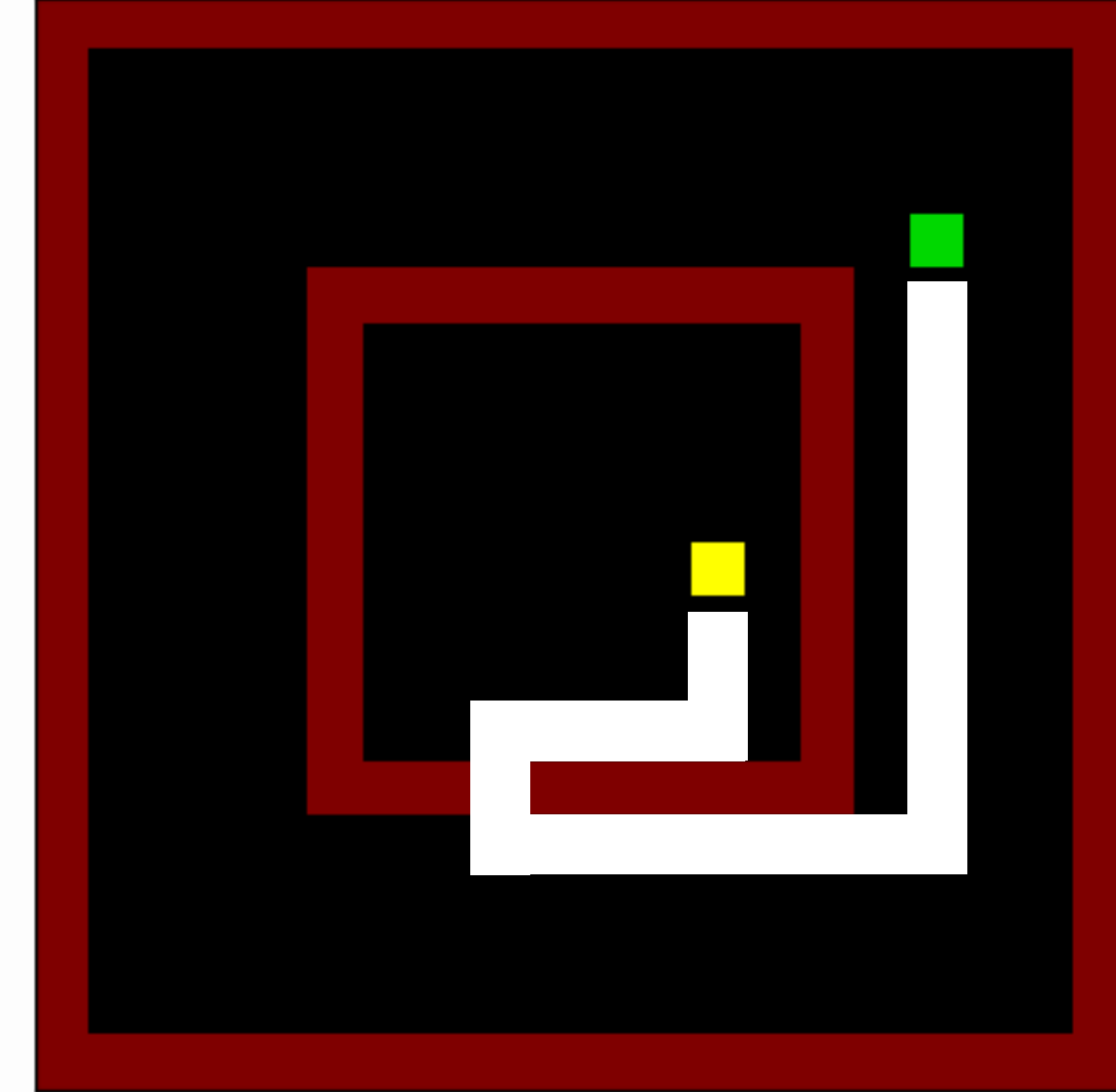
- A simple 10 x 10 grid with 2 areas, i.e. central room and hallway.
- Starts inside the central room and Goal is in the hallway
- Random **Exits x2**
- Collected **1000 Trajectories** for training



NAVIGATION: 2 EXITS VS 1 EXIT (GENERALISATION)

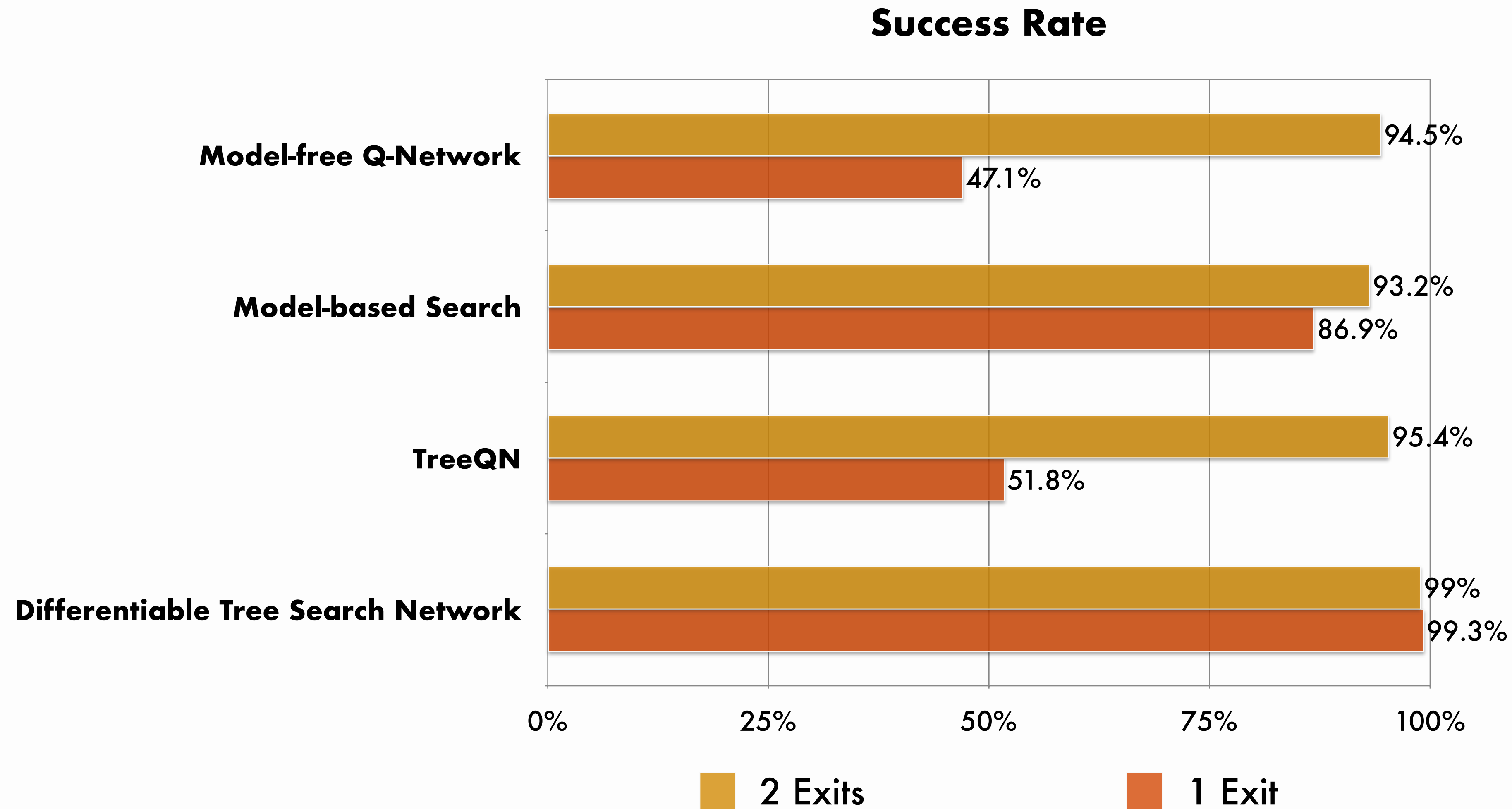


Trained on 2 Exits



Tested on 1 Exit

NAVIGATION: RESULTS



SUMMARY OF CONTRIBUTIONS

Differentiable Tree Search Network (D-TSN)

Modular Neural Network Architecture

that comprises of several *learnable submodules*

Algorithmic Inductive Bias

of a flexible and scalable *best-first tree search* algorithm

Learnt World Model

that is trained to be *useful* for the online search, even if inaccurate

Joint Optimisation

Trains the *search* and *world model* submodules jointly

Additional Technical Details

like *Tree Expansion Policy* and *Telescopic Sum* for Variance Reduction

Thank You!