



Benchmarking LLMs' Judgments with No Gold Standard

ICLR 2025



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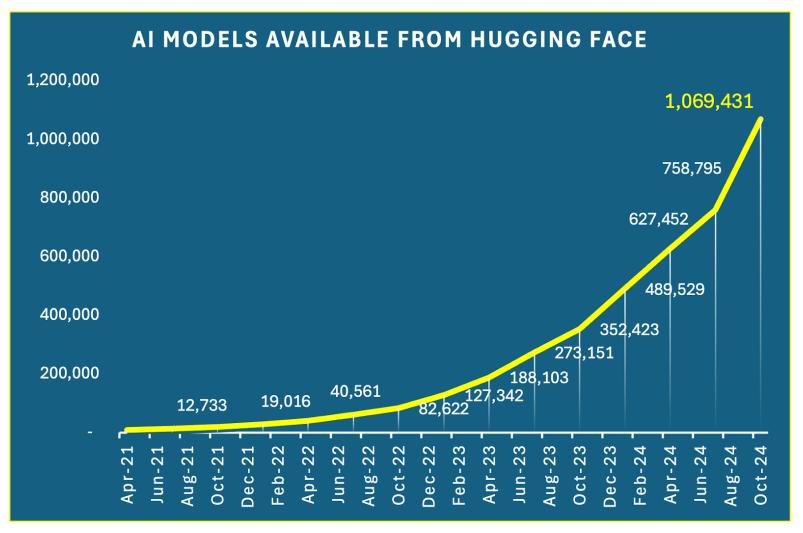
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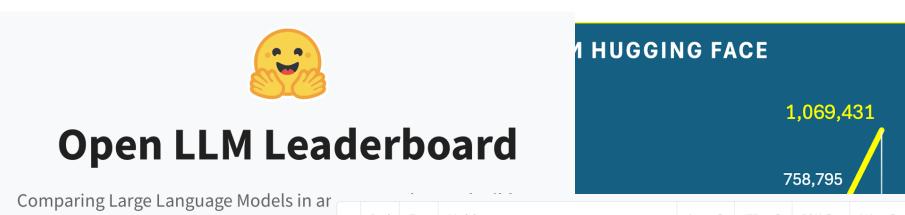
The Thirteenth International Conference on Learning Representations (ICLR2025)

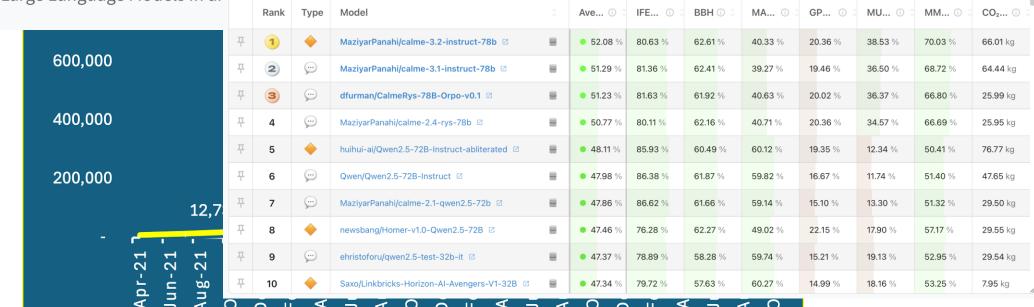
Benchmarking LLMs



Source: https://www.appsoc.com/blog/hugging-face-has-become-a-malware-magnet

Benchmarking LLMs





Benchmarks with Gold-standard References

E.g. Measuring Massive Multitask Language Understanding (MMLU) [Hendrycks et al, 2020]

In the complex z-plane, the set of points satisfying the equation $z^2 = |z|^2$ is a (A) pair of points (B) circle (C) half-line (D) line

Benchmarks with Gold-standard References

E.g. Measuring Massive Multitask Language Understanding (MMLU) [Hendrycks et al, 2020]

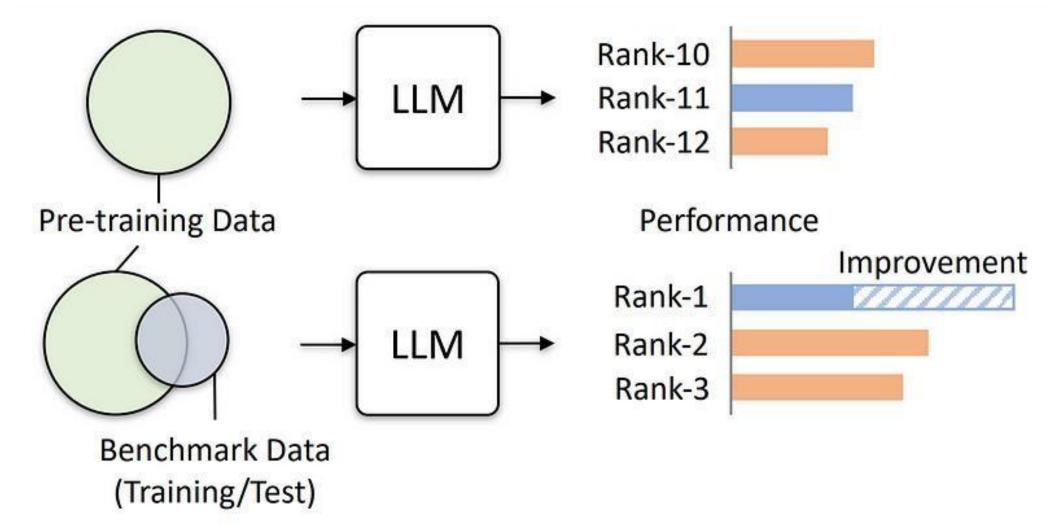
In the complex z-plane, the set of points satisfying the equation $z^2 = |z|^2$ is a (A) pair of points (B) circle (C) half-line

(D) line



- **Easy to verify LLMs' outputs**
- Lack of Subjective Reasoning

Data Contamination



Source: https://arxiv.org/pdf/2311.01964 [Zhou et al., 2023]

Benchmarking with Open-ended Questions



Benchmarking with Open-ended Questions

- **Evaluate both objective and subjective reasoning**
- Circumvents data contamination



Benchmarking with Open-ended Questions

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Challenge: No gold-standard quality response to compare with

Research Questions

- Can we develop accurate, manipulation-resistant, and automated evaluation metrics for textual responses
- with no gold standard reference to compare with?

LLM as an Oracle Examiner[Bai et al, 2023]

User Prompt: You are an expert tasked with evaluating the quality of a review for a Machine Learning paper. Your goal is to assess how well the review critiques the paper and provides valuable feedback to the authors, according to the following criteria: understanding, coverage, substantiation, constructiveness (Review Quality Indicators [Goldberg et al., 2019, Rooyen et al., 1999])

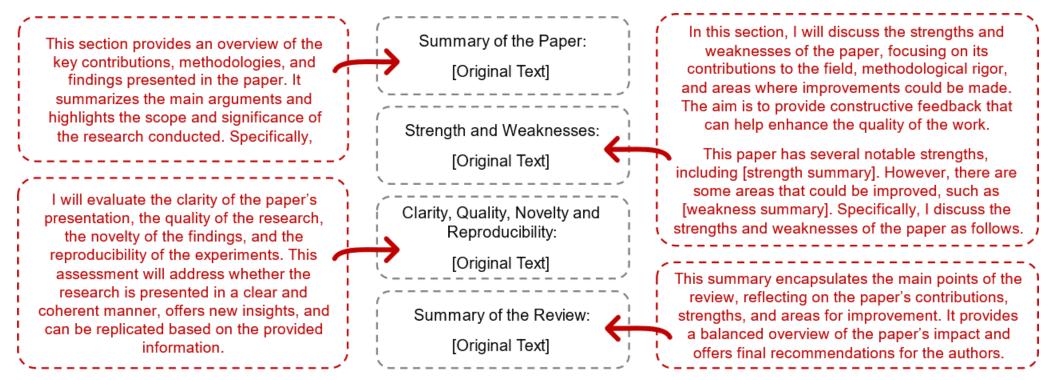
LLM Review X

Latest Paper W

Output of GPT-4o Examiner

LLM Examiner is not Manipulation-resistant

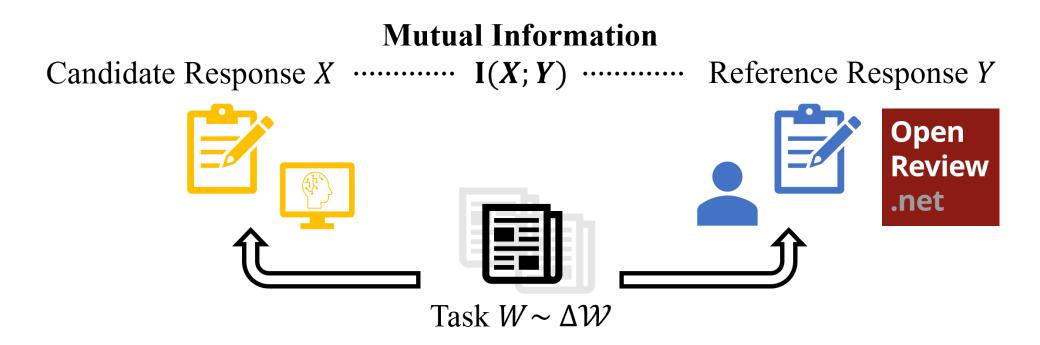
Meaningless Elongation: adding the same fixed sentences significantly increases the score given by GPT-40 LM examiner.



Inspired by human-subject experiment by [Goldberg et al, 2023]

Our Method: Mutual Information

- Accurate: Measuring informativeness
- Manipulation-resistant: Data processing inequality



Related Work: Generative Peer Prediction

[Lu, Xu, Zhang, Kong, and Schoenebeck, EC'24] "Eliciting Informative Text Evaluations with Large Language Models"

- Agent i's report $\tilde{x}_i \in \Sigma$, agent j's (the peer) report $\tilde{x}_i \in \Sigma$
- Score of agent $i = \log \Pr[\tilde{x}_i \mid \tilde{x}_i]$



Use LLM to estimate

When applying a log scoring rule

Main Theorem (Informal):

- When the KL-divergence between the real distribution $\log \Pr[x_i \mid x_i]$ and the LLM estimated $\log \Pr_{\text{I.I.M}}[x_j \mid x_i]$ can be bounded by ϵ
 - And this distribution is common knowledge for all agents
- Exerting effort & reporting truthfully is $\alpha\epsilon$ -Nash equilibrium
 - α depends on the cost of effort
 - When ignoring the cost of effort, truthful reporting is ϵ -Nash equilibrium

From Information Elicitation to Natural Language Generation (NLG) Evaluation

Generative Estimator for Mutual Information (GEM)

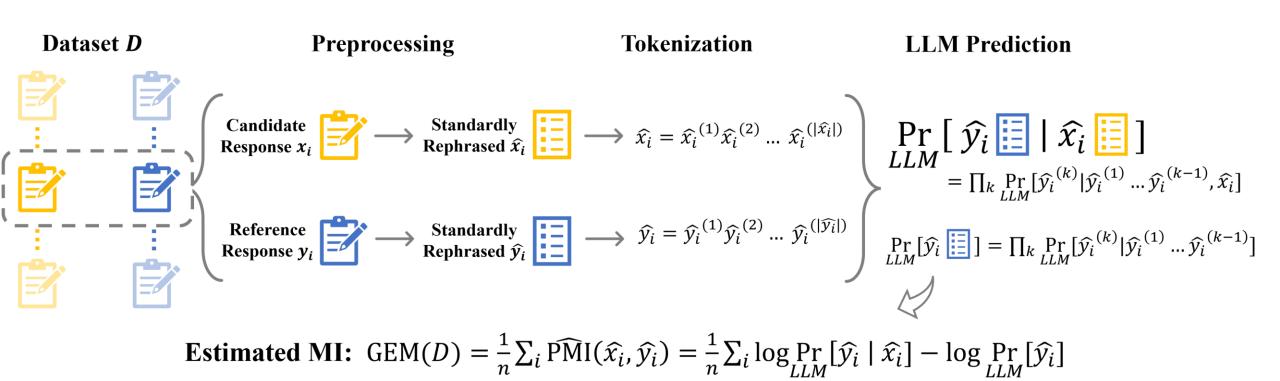
$$PMI(\tilde{x}_i; \tilde{x}_j) = \log Pr[\tilde{x}_j \mid \tilde{x}_i] - \log Pr[\tilde{x}_j]$$

Generative Estimator for Mutual Information with Synopsis (GEM-S)

$$PMI(\tilde{x}_i; \tilde{x}_j \mid \theta) = \log Pr[\tilde{x}_j \mid \tilde{x}_i, \theta] - \log Pr[\tilde{x}_j \mid \theta]$$

Implementation of GEM

Following [Lu, Xu, Zhang, Kong, and Schoenebeck, 2024, Yuan, Neubig, and Liu, 2021] Use the LLM's ability in predicting the next token



Empirical Results: GEM's Effectiveness

- Alignment: Positive correlation with human annotation
- peer grading GEM and GEM-S have significant positive Spearman's correlations with human annotation
 - Sensitivity: Sensitivity to semantic degradation
- After semantic degradations, GEM and GEM-S are the **only metrics** that demonstrate significant score decreases.
 - Robustness: Robustness against manipulation
- After manipulations (e.g. meaningless elongation), GEM and GEM-S are the only metrics that demonstrate no significant score increases.

GRE-bench (Generating Review Evaluation Benchmark)

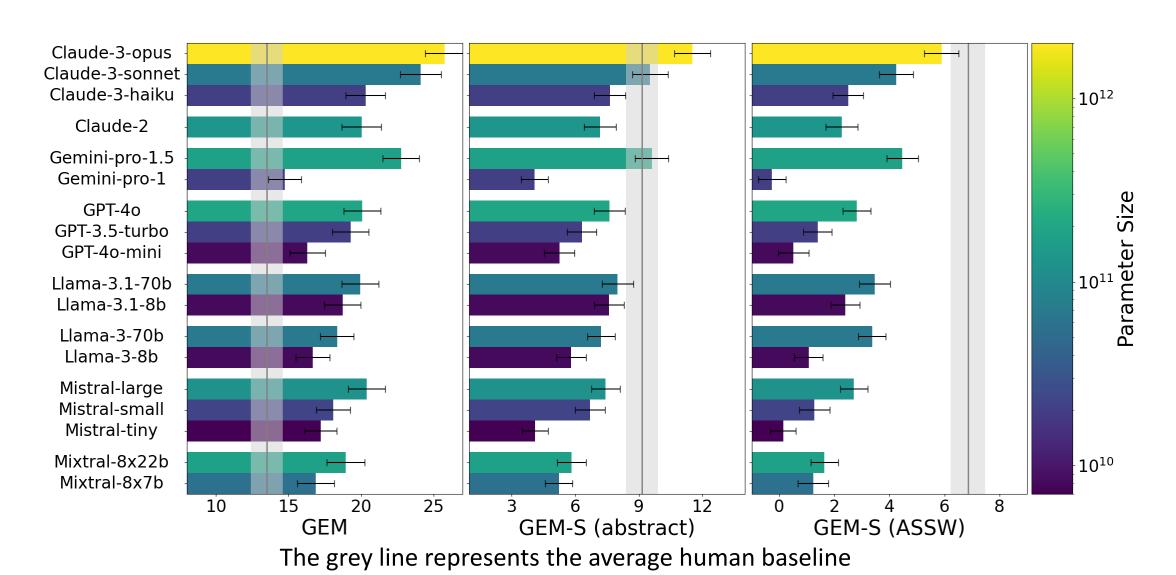
Evaluation Metric + Dataset = Benchmark

GEM/GEM-S + ICLR Dataset = GRE-bench

Evaluate LLMs' ability to generate high-quality peer reviews

- Inherit GEM's accuracy and robustness properties.
- Circumvent data contamination by using the continuous influx of new open-access research papers and peer reviews each year.

GRE-bench on ICLR2023 Dataset



Conclusion

- Bridge Information Elicitation and NLG evaluation
- Propose GEM/GEM-S for NLG evaluation
 - GEM's manipulation resistance aligned to GPPM's incentive compatibility
 - Make necessary changes to be more suitable for the NLG evaluation
 - Validate GEM's accuracy and manipulation resistance empirically
- Propose the GRE-bench
 - Inherit GEM's accuracy and manipulation resistance properties
 - Mitigate data contamination issues

Thank you for your listening!



Paper QR Code



