

# Homomorphism Expressivity of Spectral Invariant Graph Neural Networks

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April 18, 2025

# Overview

- 1 Background
- 2 Expressive Power of Spectral GNNs
- 3 Homomorphism Expressivity of Spectral GNN
- 4 Experiment and Conclusion

# Outline

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# Background: Graph Spectral

- Eigen-decomposition of graph  $G$ :

$$\mathbf{M}_G = \sum_{k=1}^k \lambda_{M,k}^G \mathbf{P}_{M,k}^G, \quad \mathbf{M}_G \in \{\mathbf{A}, \mathbf{L}, \hat{\mathbf{A}}, \hat{\mathbf{L}}\}$$

$\mathbf{M}$  can be either a standard or normalized adjacency matrix, or a standard or normalized Laplacian matrix.

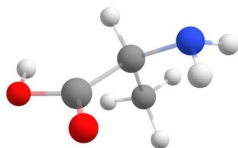
- Spectral Information: eigenvalue  $\{\lambda_{M,k}^G\}_{k=1}^m$  and projection matrix to eigenspaces  $\{\mathbf{P}_{M,k}^G\}_{k=1}^m$ .

# Background: Application of Graph Spectral

- Theoretical and graph theory: How much information of graph does spectral information
  - Widely known conjecture [9, 6]: If two graphs are cospectral (have same eigenvalues), are they isomorphic?
- Application and machine learning:
  - Spectral encodes information regarding connectivity.
  - Related to practical applications such as molecular property prediction.
  - Adopted in the design of neural networks

# Background: Graph Neural Network

- Graph neural networks (GNNs) have become the dominant approach for learning graph-structured data.



- To develop GNNs that more effectively encode spectral information, recent research in graph learning has incorporated spectral information into their design, leading to what we call spectral GNNs.

# Questions and Motivations

- GNN based on spectral:
  - Basisnet [8]
  - SPE [7]
  - Spectral Invariant GNN (Spectral-IGN) [10]
  - GCN, ChebNet
- Questions:
  - Incorporating spectral information into the design of GNNs increases computational cost. Does this enhance the expressive power of GNNs?
  - What is the relationship between the expressive power of GNNs based on spectral information and other GNNs?
  - How do spectral GNNs compare to other mainstream GNN architectures?
  - What are the key properties of spectral GNNs (e.g., subgraph counting capabilities)?

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# Spectral Invariant GNN

- Spectral Invariant GNN : A variation of 1-WL that incorporates spectral information, also the most widely studied spectral-based GNN.

$$\chi_G^{\text{Spec},(d+1)}(u) = \text{hash} \left( \chi_G^{\text{Spec},(d)}(u), \{ \{ \chi_G^{\text{Spec},(d)}(v), \mathcal{P}(u, v) \} | v \in V_G \} \right) \quad \text{for } u \in V_G, d \in N_+,$$

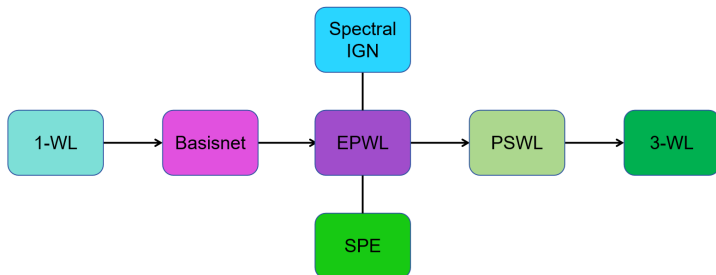
$$\mathcal{P}(u, v) := \{ \{ (\lambda, \mathbf{P}_\lambda(u, v)) | \lambda \in \Lambda \} \} \quad \text{for } u, v \in V_G.$$

$\mathbf{A} = \sum_{\lambda} \mathbf{P}_{\lambda}$  is the eigen-decomposition of adjacency matrix  $\mathbf{A}$ .

# Previous Result: Expressive Power of Spectral GNNs

## Theorem

- *Spectral IGN is as expressive as EPWL in distinguishing non-isomorphic graphs.*
- *SPE is as expressive as EPWL.*
- *Basisnet is strictly weaker than EPWL.*
- *EPWL is strictly stronger than 1-WL, and is strictly weaker than PSWL. Thus. EPWL is strictly weaker than 3-WL.*



# Result and Limitation

- Conclusion:

- A fine-grained expressiveness hierarchy among different architectures.
- Spectral IGN essentially unifies all prior spectral invariant architectures, in that they are either strictly less expressive or equivalent to Spectral IGN.

- Limitation:

- How to compare Spectral IGN with other main stream GNNs?
- Other properties of Spectral IGN? (e.g. subgraph count)
- Open problem of [2]—expressive power of Spectral IGN with finite iterations:

For any  $d$ , is the expressive power of  $\chi_G^{\text{Spec},(d+1)}$  strictly stronger than  $\chi_G^{\text{Spec},(d)}$ ? (i.e. does the iteration of spectral IGN converges in finite number of iterations?)

- **A more fine-grained analysis is required !**

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# Homomorphic Expressivity of Spectral GNN

## BEYOND WEISFEILER-LEHMAN: A QUANTITATIVE FRAMEWORK FOR GNN EXPRESSIVENESS

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- **Introduce tools of discrete geometry and algebraic graph theory to the analysis of GNNs' expressive power.**

# Homomorphic Expressivity of GNN

## Definition (Homomorphism)

Given two graphs  $F = (V_F, E_F)$  and  $G = (V_G, E_G)$ , a homomorphism from  $F$  to  $G$  is a mapping  $f: V_F \rightarrow V_G$  that preserves edges, i.e.  $\{f(u), f(v)\} \in E_G$  for all  $\{u, v\} \in E_F$ .

## Definition (Homomorphic Expressivity)

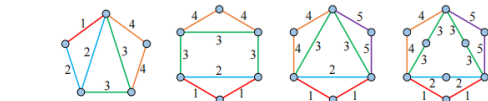
The homomorphism expressivity of a GNN model  $M$ , denoted as  $\mathcal{F}^M$ , is a family of graphs satisfying the following conditions:

- 1 For any two graphs  $G, H$ ,  $\chi_G^M(G) = \chi_H^M(H)$  iff  $\text{hom}(F, G) = \text{hom}(F, H)$  for all  $F \in \mathcal{F}^M$ ;
- 2  $\mathcal{F}^M$  is maximum, i.e., for any graph  $F \notin \mathcal{F}^M$ , there exists a pair graphs  $G, H$  such that  $\chi_G^M(G) = \chi_H^M(H)$  and  $\text{hom}(F, G) \neq \text{hom}(F, H)$ .

# Homomorphic Expressivity of Main Stream GNNs



(a) Illustration of NED



(b) Endpoint-shared/strong/almost-strong/general NED

## Theorem

The homomorphism expressivity of main stream GNNs are presented as follows:

- **MPNN**:  $\mathcal{F}^{\text{MP}} = \{F : F \text{ is a forest}\};$
- **Subgraph GNN**:  $\mathcal{F}^{\text{Sub}} = \{F : F \text{ has an endpoint-shared NED}\};$
- **Local 2-GNN**:  $\mathcal{F}^{\text{L}} = \{F : F \text{ has a strong NED}\};$
- **Local 2-FGNN**:  $\mathcal{F}^{\text{LF}} = \{F : F \text{ has a almost-strong NED}\};$
- **2-FGNN**:  $\mathcal{F}^{\text{LF}} = \{F : F \text{ is a subgraph a series-parallel graph}\}.$

# Homomorphic Expressivity of Spectral GNN

## Definition (Parallel Edge)

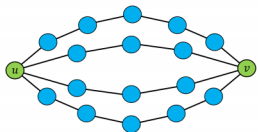
A graph  $G$  is called a *parallel edge* if there exist two different vertices  $u, v \in V_G$  such that the edge set  $E_G$  can be partitioned into a sequence of simple paths  $P_1, \dots, P_m$ , where all paths share endpoints  $(u, v)$ . We refer to  $(u, v)$  as the endpoints of  $G$ .

## Definition (Parallel Tree)

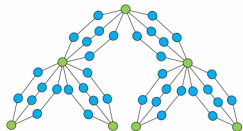
A graph  $F$  is called a *parallel tree* if there exists a tree  $T$  such that  $F$  can be obtained from  $T$  by replacing each edge  $(u, v) \in E_T$  with a parallel edge that has endpoints  $(u, v)$ . We refer to  $T$  as the *parallel tree skeleton* of the graph  $F$ . Given a parallel tree  $F$ , the *parallel tree depth* of  $F$  is defined as the minimum depth of any parallel tree skeleton of  $F$ .



# Homomorphic Expressivity of Spectral GNN



(a) A parallel edge with endpoints  $(u, v)$



(b) An example of parallel tree and its tree skeleton

## Theorem (Homomorphism Expressivity)

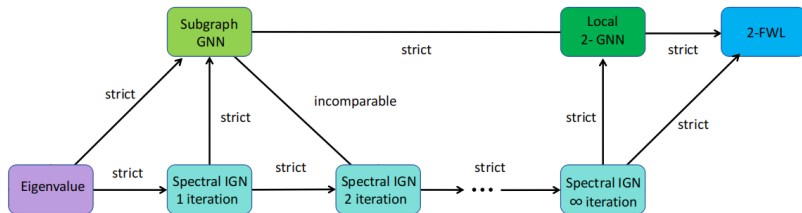
*For any  $d \in \mathbb{N}$ , the homomorphism expressivity of spectral invariant GNNs with  $d$  iterations exists and can be characterized as follows:*

$$\mathcal{F}^{\text{Spec},(d)} = \{F \mid F \text{ has parallel tree depth at most } d\}.$$

## Theorem (Subgraph Counting Power)

*$\forall d \in \mathbb{N}$ , spectral IGNNs with  $d$  iterations can subgraph count graph  $F$  iff all the homomorphic images of  $F$  lies in  $\mathcal{F}^{\text{Spec},(d)}$ .*

# Implication of Homomorphic Expressivity



- **Hierarchy:** A hierarchy of spectral IGN and other mainstream GNNs.
- **Subgraph Count:** Extending the results of previous works [3, 1, 5].
  - 1 Spectral invariant GNNs can subgraph-count all cycles up to 7 vertices within 2 iterations.
  - 2 The above upper bound is tight: spectral invariant GNNs with only 1 iteration (i.e., Fürer's weak spectral invariant) cannot subgraph-count 7-cycle.
  - 3 Spectral invariant GNNs with 1 iteration suffice to subgraph-count all cycles up to 6 vertices.

## Extension: Higher Order Spectral

- **Higher Order Spectral GNN:**

The homomorphism expressivity of  $k$ -order spectral invariant GNNs is the set of all graphs that admit a parallel  $k$ -order strong NED.

- **Higher Order Symmetric Power:**

### Theorem












*The Local  $2k$ -GNN can encode the symmetric  $k$ -th power. Specifically, for given graphs  $G$  and  $H$ , if  $G$  and  $H$  have the same representation under Local  $2k$ -GNN, then  $G^{\{k\}}$  and  $H^{\{k\}}$  have the same representation under the spectral invariant GNNs.*

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# Experiment

Table 1: Experimental results on homomorphism counting, real-world tasks and substructure count.

| Task \ Model           | Homomorphism Count  |   |   |   |   | ZINC        |             | Substructure Count  |  |   |   |   |   |
|------------------------|---|---|---|---|---|-------------|-------------|---|--|---|---|---|---|
|                        |  |  |  |  |  | Subset      | Full        |  |  |  |  |  |  |
| MPNN                   | .300  | .261  | .276  | .233  | .341  | .138 ± .006 | .030 ± .002 | .358  | .208   | .188  | .146  | .261  | .205  |
| Spectral Invariant GNN | .045  | .046  | .053  | .048  | .303  | .103 ± .006 | .028 ± .003 | .072  | .072   | .089  | .089  | .060  | .099  |
| Subgraph GNN           | .011  | .013  | .010  | .015  | .260  | .110 ± .007 | .028 ± .002 | .010  | .020   | .024  | .046  | .007  | .027  |
| Local 2-GNN            | .008  | .006  | .008  | .008  | .112  | .069 ± .001 | .024 ± .002 | .008  | .011   | .017  | .034  | .007  | .016  |

- **Homomorphism Count.** GNN models perform well in homomorphism counting when the substructure is captured by the model's homomorphism expressivity.
- **Subgraph Count.** GNN models perform well in subgraph counting when the substructure's homomorphic images are within the model's homomorphism expressivity.
- **Real-World Task.** We evaluate our GNN models on the ZINC-subset and ZINC-full datasets [4].

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